



Department of Budget and Fiscal Analysis  
 University of Maryland College Park  
 2132 Main Administration Building  
 College Park, Maryland 20742  
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## Finance Committee

The Finance Committee oversees the management of the annual Campus Operating and Capital Budgets and reviews resource issues of Campus-level significance that arise within the fiscal year. The Finance Committee is advisory to the President.

The Committee's policies and procedures may be found [here](#).

### Finance Committee meeting dates through August 2014:

#### Pre-Finance Committee

2105 Main Administration Building

All meetings will be held on Wednesdays  
 from 2:00 p.m. - 3:00 p.m., unless otherwise noted

September 20, 2013 (Friday)  
 October 23, 2013  
 November 20, 2013  
 January 22, 2014  
 February 19, 2014  
 March 21, 2014 (Friday 11:00 a.m. – 12:00 p.m.)  
 April 16, 2014  
 May 21, 2014  
 June 18, 2014  
 August 20, 2014

#### Finance Committee

2105 Main Administration Building

All meetings will be held on Fridays  
 from 9:00 a.m.- 11:30 a.m., unless otherwise noted

September 26, 2013 (Thursday)  
 October 30, 2013 ( Wednesday 1:30 p.m. – 4:00 p.m.)  
 December 3, 2013 (Tuesday)  
 January 31, 2014  
 February 28, 2014  
 March 28, 2014  
 April 25, 2014  
 May 30, 2014  
 June 26, 2014 (Thursday)  
 August 27, 2014 (Wednesday)

For agenda consideration, all material should be forwarded to the Vice President for Administrative Affairs by the Pre-Finance Committee dates listed above.

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**\*\* indicates Pre-Finance Committee members**

**AGENDA**  
**Finance Committee Meeting**  
**August 30, 2013**  
2105 Main Administration Building

**Minutes from Prior Meeting**

Approved Minutes from the June 28, 2013 Meeting

**Tab# Action Items**

1. Energy Reserve Fund Request - Modeling and Implementation of Energy Efficiency Measures in Campus Data Centers
2. Results of State-Supported Operations - FY 2013

**Status Reports**

3. University Relations Monthly Gifts and Commitments Report as of June 30, 2013
4. Finance Committee Discretionary Accounts at June 30, 2013
5. Status Report - State Supported Budget Balances - FY 2013
  - a. Tuition Revenue at June 30, 2013
  - b. Indirect Cost Recovery Revenue at June 30, 2013
  - c. Fringe Benefit and Remission Expenditures - State Supported (Excluding AES/MCE) at June 30, 2013
  - d. Fuel and Utilities Expenditures at June 30, 2013
6. Sponsored Programs Expenditures Quarterly Report - June 30, 2013
7. Contract and Grant Awards - 4<sup>th</sup> Quarter - FY 2013
8. Report of Vacancies - June 30, 2013
9. Financial Report to Board of Regents - June 30, 2013
10. BMGT Request for Tuition and Fee Rate for Online MBA Program
11. ARHU Request for School of Music Undergraduate Supplemental Fee

University Of Maryland, College Park  
Minutes of the Finance Committee Meeting  
June 28, 2013

**Meeting Convened:** At 9:00 a.m. by Vice President Colella  
in Room 2105, Main Administration

**Members Present:** Senior Vice President Rankin, Vice Presidents  
Clement and Colella, Assistant President Eastman  
and Dean Banavar

**Members Absent:** Vice Presidents O'Shea, Voss and Weiler

**Support Staff:** Associate Vice Presidents Dworkis and Hale,  
Assistant Vice Presidents King, Platky and Vogler

**Tab**  
**#**

**Minutes from the May 30, 2013 Meeting**

The minutes of the May 30, 2013 meeting were approved.

**Action Items**

1. Energy Reserve Fund Project - Jeong H. Kim Engineering  
Retro-Commissioning

The Committee approved a request from Facilities Management in the amount of \$500,000 to provide retro-commissioning activities and building performance enhancements for the Jeong H. Kim Engineering Building. Based upon current energy usage, the project payback is projected to be less than two years. Funding will be provided from the Finance Committee Energy Reserve Account.

2. Membership Dues & Subscriptions - FY 2014

The Committee approved the proposed list of dues and subscriptions, while adding to it the central campus membership in the Committee on Institutional Cooperation at an estimated \$200,000. The new total budget will be \$597,451. Funding will be provided from General University Account 1-19155.

3. Revisions to the Administrative Overhead and Cost  
Containment (AO/CC) Plan

The Committee deferred action on this item from its March 2013 meeting. The Office of Budget and Fiscal Analysis had presented proposed revisions to the AO/CC Plan, originally approved by the Finance Committee in FY 2008.

The revisions would complete the two goals of the Plan one year later than originally scheduled, in FY 2015 rather than FY 2014. Staff was directed to consider other alternatives in the best interests of Student Affairs to completing the Cost Containment portion of the Plan, including the potential use of Fund Balance.

The Committee approved the revised staff recommendations to: 1) reduce FY2014 budgeted cost containment transfers by one-half, with the balance to be off-set by a reduction to the central fund balance (FY2014 will be the final year of any such transfers); and 2) increase the Administrative Overhead rate to 4.7% in FY2014 and 5.0% in FY2015, where it will remain without further action.

4. Department of Public Safety Reimbursement Request for FY2013 Special Event Expenses

The Committee approved a request for reimbursement from the Department of Public Safety in the amount of \$89,709.90 for costs associated with special events, primarily non-budgeted premium compensation. Funding will be provided from the Finance Committee Auxiliary Account.

5. President's Office Request for Reimbursement for Expenses Associated with Dalai Lama Visit

The Committee approved a request for reimbursement from the President's Office in the amount of \$368,681.97 for the expenses associated with the Dalai Lama's visit on May 7, 2013. Funding will be provided from the Finance Committee Operating Account.

6. Division of Information Technology Request for FY2014 Campus IP Address Rate Increase

The Committee approved a request from the Chief Information Officer to implement the seventh and final year of a program to increase campus IP rates by \$1.00/month, to \$11.00 from \$10.00. This provides funding for major infrastructure upgrades as well as innovative technologies.

Status Reports

7. University Relations Monthly Gifts and Commitments Report as of May 31, 2013

Fundraising results as of May 31, 2013, show fiscal year-to-date total gifts and commitments of \$74.5M from 31,399 donors. Contributions received since the completion of the Great Expectations Campaign totaled \$35.9M from 15,373 unique donors.

8. Finance Committee Discretionary Accounts

The following May 31, 2013, uncommitted balances were submitted for the record:

Operating	\$	581,512
Auxiliary		1,845,043
Energy Reserve		<u>8,029,673</u>
Total Discretionary		\$10,456,228

9. State-Supported Working Budget-FY 2013

The FY 2013 state-supported working budget has an overall projected surplus of \$4.2M, comprised of the following:

Operating Projections

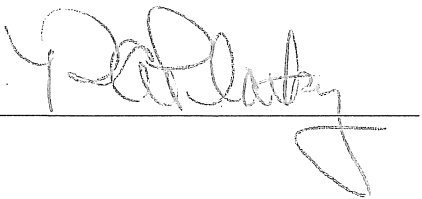
Revenue Under-Attainment	(3.6)
Expenditures Under Budget	10.4
Planned Commitments	(2.6)
	-----
Surplus	\$ 4.2

10. Financial Report to Board of Regents - April 30, 2013

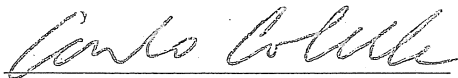
The FY 2013 unrestricted budget is \$1.3B; revenues and expenditures are projected to exceed budget by \$25.4M and \$10.0M, respectively. Revenues exceed expenditures by \$15.4M.

Respectfully submitted by:

Robert A. Platky, Director of Budget & Fiscal Analysis



Carlo Colella, Chair



Actions Approved by:

Wallace D. Loh, President

W D Loh

7/3/2013

Date

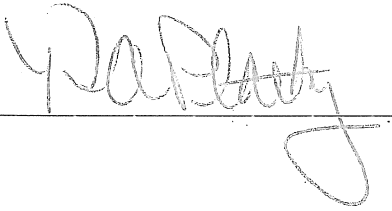


ADDENDUM TO THE FINANCE COMMITTEE MINUTES  
FOR THE MEETING OF JUNE 28, 2013

At the request of President Loh and subsequent to its June 28 meeting, the Finance Committee was requested to consider an additional University-wide membership for inclusion on the list of approved Membership Dues & Subscriptions (see Action Item #2, above). Therefore, the Committee Members were polled via email on July 8, 2013, and approved by a majority vote the addition of the University's membership in Universitas 21. The annual dues for this membership are expected to be \$45,800 for FY2014.

By:

Robert A. Platky, Director of Budget & Fiscal Analysis



A handwritten signature in cursive script, appearing to read 'R. Platky', is written over a horizontal line.

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: Energy Reserve Fund Project - Modeling and Implementation of Energy Efficiency Measures in Campus Data Centers

TYPE OF REQUEST: Action

REQUESTOR: John Farley  
Interim Associate Vice President  
Facilities Management

ATTACHMENT: Energy Reserve Fund Project Review Request: Modeling and Implementation of Energy Efficiency Measures in Campus Data Centers

DESCRIPTION: Facilities Management is requesting \$28,000 (one-third of the projected cost) for the study and implementation of major energy conservation efficiency measures (ECMs) in campus data centers. Five major ECMs have been identified. Phase I of the project consisted primarily of developing the energy model for AV Williams Data Center and implementation of ECM 1 (previously approved). This request for Phase II will include monitoring of savings associated with ECM 1 and analysis and implementation of ECMs 2-4. Expected savings from ECMs 1-4 are \$50,000 annually. Results from the project will be applied to other data centers on campus.

FUNDING: Finance Committee Energy Reserve Account

BUDGET RECOMMENDATION: Approve

SUBMITTED BY: Carlo Colella  
Vice President for Administration & Finance

## ENERGY RESERVE FUND – PROJECT REVIEW REQUEST

Date: August 6, 2013

Project Title: Modeling and Implementation of Energy Efficiency Measures in Campus Data Centers

Name of Requestor/University Committee: CALCE Electronics Systems and Products/Advanced Thermal Management laboratory, Dept. of Mech. Engineering

Amount of Request: \$28,000

### Applicant Information

Name: Michael Ohadi

Student     Staff     Faculty

Email Address: ohadi@umd.edu

University Address: 4164 Mechanical Engineering Dept.

Phone Number: Day-time/Work 301-405-5263                      Cell Phone: 443-854-1990

University Affiliation (Name of Organization, Department, or Office):

Mechanical Engineering Dept. , Room 4164C

If student:

This project is my own.

This project is being proposed on behalf of a student organization.

Name of student organization: \_\_\_\_\_

Name of Faculty or Staff Project Advisor: \_\_\_\_\_

Faculty or Staff Project Advisor Contact Information: \_\_\_\_\_

Email Address: \_\_\_\_\_

University Address: \_\_\_\_\_

Phone Number: Day-time/Work: \_\_\_\_\_ Cell Phone: \_\_\_\_\_

Please provide the following information to support your project review request:

- **Project Description:** Provide a summary of your project.

This project is the study and implementation of major energy conservation efficiency measures (ECMs) in campus data centers. Five major ECMs have been identified. The first one has already been implement and projected energy savings are close to 100 MW-hr/year. The four other ECMS once implemented can yield energy savings on the order of 1GW-hr/year, with

operational savings in excess of \$100,000 per year and CO2 foot print reduction of as much as 1,000 tons/year for just one of the data centers. Similar measures will be studied for the other data centers on campus.

- **Connection to University:** Describe how the project directly addresses environmental sustainability by the University of Maryland or in the capacity that on-campus activities directly influence environmental sustainability in the surrounding community.

With everyday increase in telecommunications and electronics transactions, as well as huge growth in distance education and online learning, the campus will see continuous growth in its data centers activities and energy intensity. Because data centers are operating 7-24-365, they consume tremendous energy, as much as 10 to 40 times more than a typical office building. Therefore, planning and implementation of optimum operation of data centers will have a substantial impact on improving the environmental sustainability on campus. Please see the attached Executive summary for the projected impact.

- **University Affiliation:** Identify whether the proposal is submitted by a UM student, staff member, and/or faculty member on behalf of an individual or UM program, organization, office, or department. Non-university affiliated individuals and organizations may not submit proposals.

The proposed project is jointly sponsored by IT, Energy management office/Facilities Management, and CACLE Electronic center at UMCP. Each will contribute to 1/3 of the cost for a 10-month comprehensive study.

- **Consistency with University Sustainability Goals:** Describe how the project supports the university's sustainability goals, policies and practices.

This project has the potential for energy savings as much as 1GW-Hr/year and CO2 foot print reduction of 1,000 tons/year for just one data center. Expanding it to other data centers on campus, and/or UM system, can have huge savings that are extremely attractive to tap into.

- **Feasibility and Institutional Support:** Describe the project's feasibility and whether it has support from appropriate university individuals and entities for implementation and maintenance, as required.

This project is highly feasible and replicable in other data centers on campus. Results of Phase I are offered as successful evidence. Please see the attached executive summary.

- **Project Funding:** Identify the project's planned or potential funding sources. Also, outline project payback, lifecycle costs and savings, etc. Clearly identify the amount of funds required for project implementation.

The \$28,000 requested here is one-third of the overall budget for Phase II. The remaining two-thirds is being funded by IT and CALCE in the Mechanical Engineering Dept.

- **Environmental Benefits:** Describe how the project demonstrates a reduction in UM's carbon footprint or provides other environmental benefits such as water conservation, storm water management, biodiversity conservation, waste minimization, etc. Please provide clearly-defined, measurable outcomes for the project, backed by metrics for assessment.

Substantial savings in energy, water, and reductions in CO2 foot print on campus.

- **Project Impacts:** Describe the secondary benefits or impacts anticipated by the project. These may be environmental and non-environmental in nature.

High impact on energy savings, capital and operational savings, as well as Co2 foot print reductions. Please see the attached executive summary.

**Outreach and Education:** Describe whether outreach and education opportunities have been considered and addressed as part of the project plan.

Senior undergraduate as well as graduate students will be involved in this project. Results will be published in well-established technical journals, as well as for presentation and publication at UMD-led conference in Smart & Sustainable Campuses conference, April 2014, in Baltimore, MD.

# Measured and Simulated Energy Consumption Analysis of a Primary Data Center on UMD Campus

## Phase I Executive Summary and Proposed Effort for Phase II

The main objective of the current project was to study the energy efficiency of a primary data center on the campus of the University of Maryland, College Park, and to identify energy conservation measures and respective payback period analysis for each ECM. The project took place through close collaboration between the academic team (led by Michael Ohadi of Mech. Engr.), IT (represented by Dave Cooper), FM (represented by John Vucci), and Energy Management office (represent by Joan Kowal). This project also provided an opportunity for senior-level undergraduate as well as graduate students to get involved in measurement and data analysis of energy consumption in a primary, medium-size data center on the campus of the University of Maryland. Students had the opportunity to participate in experimental and numerical analysis to evaluate the energy performance efficiency, develop ECMs (Energy Conservation Measures), and understand the blackout power failure analysis for the primary data center.

The Power Usage Effectiveness (PUE) is one the most widely recognized measures for energy efficiency of data centers, defined as:

$$PUE = \frac{\text{Total Facility Load}}{\text{IT Load}}$$

Therefore, a lower PUE indicates higher energy efficiency. PUEs as low as 1.07 have been reported by data centers owned by Facebook, Microsoft, and Intel. Because no separate metering data was available for the data center, onsite measurement of the Information Technology (IT) load, cooling load, and electrical load were recorded to evaluate the PUE of the data center. Experimental and modeling studies were performed to evaluate the energy performance, develop ECMs (Energy Conservation Measures), and understand and predict temperature distributions in the server racks as a result of possible blackout power failure for the data center. An accurate prediction of time to failure can assist in optimum selection of power distribution and energy storage for the data center, thus potential major capital and operational savings.

Based on the analysis, the average PUE (Power Usage Effectiveness) of the data center was found to be 2.73, substantially higher than the perfect 1.0 value, thus suggesting major energy savings opportunities. The IT, cooling, and electrical loads consume, respectively, 36.6%, 32.9%, and 21.7% of the total data center energy consumption. Also, our preliminary ENERGY STAR rating of the data center indicated a poor score, thus operating much less efficiently than comparable facilities. Energy Star rating is a task proposed for Phase II of the project, thus we refrain from further discussion.

Five major energy saving opportunities were identified and recommended as action steps to improve the energy efficiency of this data center. These are tabulated in Table 1 (next page) and included: 1) Elimination of unnecessary CRACs (Computer Room Air Conditioning Units), II) Increase in the return air temperature at CRACs, III) Cold aisle containment, IV) Variable speed fan drive , and V) Fresh air cooling. After several meetings and discussions, the team collectively chose to implement ECM No 1, and since its implementation (nearly 6 months) we have performed continuous measurements in the data center to observe any temperature and

humidity variation outside of the recommended envelopes as a result of implementation of this ECM. Note that a projected nearly 100 MWhr energy savings and 62 tons of carbon foot print reduction per year as a result of ECM 1.

Efforts in phase I also included analysis of temperature distributions in the server racks in case of a total power outage. It was determined it would take 400 seconds (nearly 7 minutes) for the data center to experience 30 °C temperature rise when thermal mass of racks and CRACs are taken into account, while it takes only 310 seconds (nearly five minutes) to see an increase of 30 °C without counting the effect of thermal mass. The former (7 minutes) is a more accurate calculation. Results of Phase I were presented at the SemiTherm conference in March 2013 and it was well received by the reviewers and the audience. A copy of the paper is attached.

**Table 1: Energy savings summary for all five ECMs**

	Energy savings (MWh/year)	Dollar savings (US \$/year)	Ton(CO2)/year	Payback Period
<b>ECM 1 – Turn off two CRACs</b>	<b>96.4</b>	<b>10,700</b>	<b>61.9</b>	<b>Immediate</b>
ECM 2 – CRAC set point	111.2 – 152.4	12,300 – 16,800	87.4	Immediate
ECM 3 – Closed cold aisles	132.0	14,600	65.4 – 97.3	3.6 month
ECM 4 – Variable speed fan drive	113	12,400	71.3	3.6 years
ECM 5 – Fresh air cooling	770 - 901	85,000 – 98,000	716 - 836	TBA

**Proposed Research Plan for Phase II (August 2013-June 2014)**

- Continue our measurements in the AV William’s data center and establish procedures for risk-free implementations for the various ECMs we have identified in support of energy and carbon foot print reduction of the data centers on campus
- Compare modeling results with measured power consumption values and establish validated model prediction of the data center for planning purposes and energy consumption scenario analysis
- Establish transient modeling analysis to optimize the UPS performance and battery storage time
- Utilizing collected data determine Energy Star ranking (Score) for this data center
- Work with the IT, FM, and Energy Management Office to arrive at achievable goals for substantial energy consumption and CO2 foot print reduction, thus contribute to the university goals in this area
- Assist with future planning of data centers on campus through modeling and analysis.

FINANCE COMMITTEE

MEETING DATE: August 30, 2013  
AGENDA ITEM: Results of State-Supported Operations - FY 2013

TYPE OF REQUEST Action

ATTACHMENTS: FRS Comparative Fund Balances

DESCRIPTION: Results of operations and year end balances are presented for discussion, informational purposes and approval of the following actions:

MOTION(S): 1. Request approval to carry forward the following FY 2013 State-Supported Balances into FY 2014:

President	\$ 505,101
Academic Affairs	100,054,684
University Relations	1,083,356
Student Affairs	2,940,838
Administration & Finance	6,873,375
Information Technology	1,631,379
Research	1,095,426
Finance Committee	<u>75,450</u>
Total Carry Forward	\$114,259,609

2. Request approval to apply available Central Funds as noted below:

FY12 Reserve for Contingencies	\$ 41,548,438
FY13 Results of Operations	6,722,061
FY13 Budgeted Surplus	1,000,000
FY13 Base Budget Contingency	<u>1,942,587</u>
Available Central Funds	\$51,213,086

Distribution of Excess IDC	( 2,527,300)
Transfer to Plant for East Campus	<u>( 5,000,000)</u>

Remaining Central Funds \$ 43,685,786

3. Request approval to transfer the remaining FY 2013 Central funds of \$43,685,786 noted in motion 2 above to the Reserve for Contingencies.



4. Request approval to distribute the FY 2013 investment earnings as follows:

Plant Reserves (100%)	\$ 1,802,688
Student Affairs (100%)	781,725
Research (DRIF) (100%)	<u>784,866</u>

Investment earnings \$ 3,369,279

5. Request approval to distribute 100% of the Excess FY 2013 IDC Revenue, \$2,527,300, equally between DRIF and Facilities Renewal.

RECOMMENDATION: Approve

SUBMITTED BY: Carlo Colella,  
Vice President for Administration and Finance

June 2013, FY13 - ACTUALS BY DIVISION

DIVISION		DIV #	STATE LEDGER 1	MIPS LEDGER 2	COST SHARE LEDGER 2	TOTAL LEDGER 1	COLLEGE SUM ACCTS LEDGER 1	OES DIV #43 LEDGER 1	DRIF LEDGER 2	OTHER LEDGER 2	TOTAL E&G AND OTHER	LEDGER 3	LEDGER 7	LEDGER 8	TOTAL PLANT	GRAND TOTAL
		(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)
ACADEMIC AFFAIRS																
SRV AND PROG/VOIST		20	45,741,886.90		1,099.17	45,742,986.07			17,253,243.65	2,556,759.38	19,810,003.03	40,215.42	217,014.35		217,014.35	65,810,198.87
UNDERGRADUATE STUDIES		21	1,231,218.84		(2,734.60)	1,246,518.62	34,817.59		1,445,284.26	727,768.91	2,207,850.76		110,645.00		110,645.00	3,566,815.38
EXTENDED STUDIES (OPS)		23				0.00										11,419,634.47
LIBRARIES		23	400,869.45		35,211.71	438,081.16			573,482.34	37,017.14	610,499.48	164,703.61	2,100,205.31		2,100,205.31	3,211,489.66
LUMIS		23				0.00				5,929,816.28	5,929,816.28					3,223,918.28
GRADUATE SCHOOL		24	12,433,685.31		(5,458.84)	12,433,685.31			2,868,481.72	2,655,081.18	2,652,962.90		21,907,626.33		21,907,626.33	15,066,248.21
AG AND NAT RESOURCES		25	7,234,437.49	90,523.39	99,072.35	7,319,502.04	397,866.51		7,684,155.26	11,279,286.66	19,361,310.45		594,184.02		594,184.02	46,366,458.62
ARCHITECTURE		26	74,005.91			74,005.91	4,024.00		1,968,654.09	1,275,635.67	3,188,513.76		954,184.02		954,184.02	3,900,582.02
ARTS & HUMANITIES		27	4,678,424.23	25,880.94	2,174,867.93	6,879,173.10	1,807,533.51		2,995,334.19	4,965,101.26	9,667,969.96		1,921,323.39		1,921,323.39	23,290,893.55
BSOS		28	4,048,453.91	0.00	1,464,836.74	5,513,290.65	5,165,133.23		8,023,024.04	2,668,122.24	15,896,279.51		0.00		0.00	5,993,089.90
BUSINESS MANAGEMENT		29	3,780,472.90	(200.36)	0.01	3,780,272.55			2,295,479.44	(112,692.09)	2,182,787.35		0.00		0.00	50,993,864.94
CMINS		30/36	1,998,911.68			1,998,911.68	2,380,551.86		30,931,961.00	11,271,551.97	44,594,064.83		1,007,461.97		1,007,461.97	16,193,552.73
EDUCATION		31	460,085.22	78,005.96	3,215,440.50	5,292,358.14	1,732,319.42		3,997,799.23	9,601,177.77	15,331,296.42	482,153.76	64,335.95		64,335.95	48,782,585.62
ENGINEERING		32	14,847,184.10	1,714,058.12	1,831,165.05	18,492,407.27	358,263.90		7,951,180.44	9,940,947.89	18,250,392.23		12,039,866.12		12,039,866.12	9,371,298.65
PUBLIC HEALTH		33	19,378.54			19,378.54	469,914.70		4,968,108.63	3,923,225.78	9,361,249.11		180,709.00		180,709.00	591,373.24
JOURNALISM		34	263,763.28			263,763.28	13,756.69		275,139.14	38,714.13	327,609.96		0.00		0.00	3,298,653.84
INFORMATION STUDIES		35	1,103,229.57		68,377.46	1,171,607.03	827,940.76		884,294.94	414,811.21	2,127,046.91		1,583,205.00		1,583,205.00	5,317,766.33
PUBLIC POLICY		37	1,618,691.61			1,618,691.61	18,790.21		1,259,267.33	837,824.18	2,115,881.72		8,987,935.64		8,987,935.64	13,253,320.22
SHADY GROVE		39							69,226,536.16	189,239,854.71	687,072.79		51,074,512.08		51,074,512.08	351,711,036.01
TOTAL ACADEMIC AFFAIRS			100,054,684.52	1,930,950.62	8,723,861.29	110,709,496.43	13,220,714.38	11,419,634.47	84,873,069.70	1,744,004.72	174,444,072.24	476,710.32	28,720,572.16		28,720,572.16	(25,994,755.86)
PRESIDENT		01	505,101.26			505,101.26										1,254,694.05
UNIVERSITY RELATIONS		05	1,083,355.75			1,083,355.75										85,976,327.32
STUDENT AFFAIRS		10	2,940,837.52			2,940,837.52										19,415,293.15
ADMINISTRATION AND FINANCE		15	6,495,809.42			6,495,809.42										18,344,733.23
FACILITIES MANAGEMENT		17/18	377,565.55			377,565.55										35,655,880.34
INFORMATION TECHNOLOGY		40	1,631,378.53			1,631,378.53										11,566,770.63
RESEARCH		42	1,095,425.66			1,095,425.66										0.00
GENERAL UNIVERSITY		45														
RESULTS OF OPERATIONS			(4,220,842.06)			(4,220,842.06)										(4,220,842.06)
REVENUE UNDER-ATTAINMENT			10,942,903.19			10,942,903.19										10,942,903.19
EXPENDITURES UNDER BUDGET			6,722,061.13			6,722,061.13										6,722,061.13
FY 13 RESULTS OF OPERATIONS																
FY13 BUDGETED SURPLUS			1,000,000.00			1,000,000.00										1,000,000.00
FY13 BASE BUDGET CONTINGENCY			9,664,648.13			9,664,648.13										9,664,648.13
TOTAL AVAILABLE AT JUNE 30, 2013																
FY12 RESERVE FOR CONTINGENCIES			36,548,438.00			36,548,438.00										36,548,438.00
EAST CAMPUS RELOCATION/INFRASTRUCTURE			(2,527,300.00)			(2,527,300.00)										(2,527,300.00)
DISTRIBUTION OF EXCESS IDC			43,865,786.13			43,865,786.13										63,132,445.13
FY13 RESERVE FOR CONTINGENCIES																
B) OTHER GENERAL UNIVERSITY																
SYSTEM FUNDED LOANS																
OTHER FUNDS			(2,456,767.56)			(2,456,767.56)										(2,456,767.56)
SUBTOTAL OTHER GENERAL UNIVERSITY			(2,456,767.56)			(2,456,767.56)										(2,456,767.56)
TOTAL GENERAL UNIVERSITY			41,229,018.37			41,229,018.37										(2,371,515.44)
FINANCE COMMITTEE																
PLANT PROJECTS & RESERVES			75,450.50			75,450.50										8,380,362.01
TOTAL			155,486,627.28	1,930,950.62	8,799,486.33	166,219,064.23	13,220,714.38	11,419,634.47	102,258,630.46	98,512,392.15	225,411,311.46	9,211,283.91	104,970,522.36	40,296,171.95	145,266,694.31	546,108,353.91
						166,219,064.23			225,411,311.46		9,211,283.91				145,266,694.31	546,108,353.91

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: University Relations Monthly Gifts and Commitments Report as of June 30, 2013

TYPE OF REQUEST: Status Report

ATTACHMENTS: Gifts and Commitments by Type/Source - June 30, 2013 (Combined Campaigns)

DESCRIPTION: As of the end of June 2013, fiscal year-to-date gifts and commitments totaled \$113.7M from 37,627 donors (these amounts are for the Great Expectations campaign as well as the new campaign that began in January 2013.)

SUBMITTED BY: Peter B. Weiler  
Vice President, University Relations

Division: All

By Type	Monthly Total	FY13 To Date	FY12 Comparison
<b>Outright Gifts</b>	\$3,997,126	\$31,724,992	\$33,135,497
	5,264	30,170	29,534
<b>Pledges</b>	\$27,387,429	\$37,936,965	\$30,464,526
	216	7,045	8,119
<b>Planned Gifts</b>	\$726,710	\$7,363,906	\$22,629,884
	6	54	46
<b>Gifts-in-Kind</b>	\$4,842,450	\$21,561,762	\$21,204,944
	133	1,946	392
<b>ORAA Gifts</b>	\$2,322,865	\$15,085,783	\$13,823,950
	14	113	116
<b>ORAA Pledges</b>	\$0	\$0	\$0
	0	0	0
<b>By Source</b>			
<b>Alumnus/a</b>	\$3,706,061	\$16,970,066	\$39,382,590
	3,055	20,163	20,537
<b>Corporation</b>	\$5,832,598	\$36,846,094	\$34,944,800
	270	1,365	1,339
<b>Donor Advised Fund</b>	\$192,534	\$1,872,715	\$1,108,019
	17	62	58
<b>Employee</b>	\$33,719	\$687,392	\$1,936,636
	60	512	530
<b>Former FS Parent</b>	\$0	\$68	\$0
	0	1	0
<b>Former Student</b>	\$28,170	\$268,381	\$137,377
	51	210	180
<b>Former UC Parent</b>	\$0	\$0	\$0
	0	0	0
<b>Foundation</b>	\$2,167,723	\$9,368,109	\$10,119,713
	30	177	179
<b>Friend</b>	\$1,109,035	\$9,371,001	\$13,644,489
	1,336	7,335	7,214
<b>Fund-Raising Consortia</b>	\$293	\$10,746	\$17,600
	2	9	7
<b>Other organization</b>	\$25,869,215	\$35,197,139	\$15,112,723
	29	203	165
<b>Parent</b>	\$305,242	\$2,981,616	\$4,720,268
	538	5,159	5,244
<b>Religious organization</b>	\$0	\$1,100	\$9,800
	0	3	4
<b>Student</b>	\$31,990	\$98,983	\$124,787
	229	2,428	1,305
<b>TOTALS</b>			
<b>Amount</b>	\$39,276,579	\$113,673,408	\$121,258,801
<b>Unique Donors</b>	5,617	37,627	36,762

FINANCE COMMITTEE

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MEETING DATE: August 30, 2013

AGENDA ITEM: Finance Committee Discretionary Accounts - FY 2013

TYPE OF REQUEST: Status Report

ATTACHMENT:

- A. Operating
- B. Auxiliary
- C. Energy Reserve

STATUS: The discretionary accounts have the following uncommitted balances:

		June 30, 2013
Operating	\$	212,830
Auxiliary		1,795,906
Energy Reserve		7,549,861
Total Discretionary	\$	9,558,597

SUBMITTED BY: Carlo Colella  
Vice President for Administration and Finance

**FINANCE COMMITTEE ACCOUNTS**  
**UNIVERSITY OF MARYLAND**  
**COLLEGE PARK CAMPUS**

Attachment A

FY13 OPERATING ACCOUNT 1-19086 BALANCE AS OF:

30-Jun-13

	FUNDS AVAILABLE	COMMITMENTS	BALANCE AVAILABLE
<i>SUMMARY OF ACTIVITY</i>	628,990	416,160	212,830
<b>FUNDS AVAILABLE</b>			
FUND BALANCE 7/1/12			428,990
FY13 Budget			200,000
<b>TOTAL FUNDS AVAILABLE</b>			<b>628,990</b>
<b><u>COMMITMENTS:</u></b>			
<b><u>Continuous Commitments:</u></b>			
Replenishment of Litigation Account			45,717
<b><u>One-Time Commitments</u></b>			
Music Licensing Fees			1,761
Dalai Lama Expenses			368,682
<b>TOTAL COMMITMENTS</b>			<b>416,160</b>
<b>UNCOMMITTED FUNDS</b>			<b>212,830</b>
<b>Balance Available in Accounts Funded by the Finance Committee Operating Budget</b>			
06/30/13			
Reserve for Hazardous Waste Disposal	FRS# 7 77860		616,853
Litigation Account	FRS# 2 95457		45,591

**FINANCE COMMITTEE ACCOUNTS**  
**UNIVERSITY OF MARYLAND**  
**COLLEGE PARK CAMPUS**

Attachment B

FY13	AUXILIARY ACCOUNT 3-47003 BALANCE AS OF:	30-Jun-13
	<i>FUNDS</i>	<i>Uncommitted Funds</i>
	<i>AVAILABLE</i>	<i>AVAILABLE</i>
	<i>COMMITMENTS</i>	
	<i>SUMMARY OF PROJECTED ACTIVITY</i>	1,795,907
<b>FUNDS AVAILABLE</b>		
	FUND BALANCE 7/1/12	7,216,221
	Investment Earnings	0
	Interest assessed on Internal loans for capital projects - Plant funds	4,258,422
	Interest charged for deficit balances - E&G Designated	0
	Sales Tax Discount	1,131
	Cole Field House 2/3 of Operations Profit - FY13	0
	Return of Unused Mowatt Garage Project Funds (R.H. Smith Match)-One time	190,771
	<b>TOTAL PROJECTED FUNDS AVAILABLE</b>	<b>11,666,545</b>
<b>TERM COMMITMENTS:</b>		
	Facilities Amortization:	
	1. Parking Garage III to 2018	120,705
	2. Demolition & Site Improvement- Residence Hall Project (Beg. 2013-2015, 20 years, \$4.2M total, approx. \$309,000/yr)	309,000
	<b>TOTAL</b>	<b>429,705</b>
<b>CONTINUOUS COMMITMENTS</b>		
	1. Interest to Plant Reserves cash balances (\$2,174,825 - FY12 posted in FY13)	3,977,513
	2. Interest to Student Affairs (13.4% of total invest inc.) (\$871,714 - FY12 posted in FY13)	1,653,439
	3. Interest on Perkins & Other Private Grants	48,127
	4. Interest on DRIF to Research VP (\$905,625 - FY12 posted in FY13)	1,690,491
	5. SGA Interest Revenue FY 12	10,248
	6. Maryland Day	230,000
	7. President's Box	200,000
	8. Snow Removal - FY13	239,617
	<b>TOTAL</b>	<b>8,049,435</b>
<b>ONE-TIME COMMITMENTS</b>		
	1. University Health Center Reimbursement - FY12	370,128
	2. Pillars Supervisory Development Program	0
	3. University Relations Alumni Assoc. Employees General Support	220,000
	4. Campus Security Enhancements (Pocomoke & Severn Renovation Projects)	579,755
	5. University House Operating Funds	84,729
	6. Counseling Center Interpreting Services (\$27,005 - FY12 posted in FY13)	47,176
	7. Public Safety Special Events	89,710
	<b>TOTAL</b>	<b>1,391,498</b>
	<b>TOTAL COMMITMENTS/EXPENDITURES</b>	<b>9,870,638</b>
	<b>UNCOMMITTED FUNDS REMAINING</b>	<b>1,795,906</b>

FY13 INVESTMENT INCOME  
Conservative Approach

MONTH	INTEREST RATE	STATE ACTUAL	CALCULATED MONTH END CASH BALANCE
--ACTUAL--			
July	0.80%	428,233	639,950,167 a
August	0.88%	475,054	649,276,592 a
September	0.89%	493,175	665,702,376 a
October	0.82%	508,675	746,221,848 a
November	0.74%	408,815	661,156,916 a
December	0.88%	461,920	628,462,435 a
January	0.79%	471,771	715,707,747 a
February	0.80%	461,092	689,053,629 a
March	0.96%	546,034	681,831,996 a
April	1.02%	620,485	728,553,851 a
May	0.82%	469,492	688,741,995 a
June	0.96%	489,025	611,280,688 a
ACTUAL THROUGH 6/30/13		5,833,770	
			675,495,020 d

~~PROJECTED~~

PROJECTED thru 6/30/13	-
TOTAL FY 13 INVESTMENT INCOME	5,833,770

AVERAGE INTEREST  
FOR FY2013 0.86% f

FOOTNOTES:

- a - Month end cash balance is calculated on the state actual column.
- b - Projected cash balance times interest rate
- c - Projected at 100% of FY13 balances for the same period.
- d - Calculated by totaling Fiscal YTD actual and dividing by no. of months in period.
- e - Most recent actual monthly interest
- f - Average of the monthly interest over the 12 month period

Projected per Above	5,833,770
Budgeted State Interest:	
103000 GENU	6,010,000
103009 MCE	0.00
103010 AES	0.00
 Projected Cat2 Investment Earnin	 -176,230



**FINANCE COMMITTEE ACCOUNTS**  
**UNIVERSITY OF MARYLAND**  
**COLLEGE PARK CAMPUS**

Attachment C

FY13	ENERGY RESERVE ACCOUNT 7-77915 BALANCE AS OF:		30-Jun-13
		<i>FUNDS AVAILABLE</i>	<i>DISBURSEMENTS &amp; COMMITMENTS</i>
			<i>Uncommitted Funds AVAILABLE</i>
	<i>SUMMARY OF PROJECTED ACTIVITY</i>	8,908,620	1,358,759
			7,549,861
<b><u>FUNDS AVAILABLE</u></b>			
	BALANCE 7/1/12		8,042,000
	Converge/Enerwise		588,252
	Interest - Plant Reserves (FY12 and FY13)		166,761
	MEDCO Certified Surplus Distribution		14,939
	DOE Revenue for Energy Cost for Evergreen Cluster (FY12 & FY13)		96,668
	Other Earnings		0
	<b>TOTAL PROJECTED FUNDS AVAILABLE</b>		<b>8,908,620</b>
<b><u>DISBURSEMENTS:</u></b>			
	Exterior Pedestrian Lighting		20,000
	Prince Frederick Hall - Fan-Coil Unit		400,000
	ICA Facilities - Contract Value \$1,506,240		108,259
	Funding maximized from MEA with balance from Energy Reserve with 1% Adm. Fee		
	<b>TOTAL DISBURSEMENTS</b>		<b>528,259</b>
	<b>ACCOUNT BALANCE</b>		<b>8,380,361</b>
<b><u>COMMITMENTS:</u></b>			
	IBBR Shady Grove Lighting		100,000
	Campus Data Centers		17,500
	Building & Landscape Services Bldg - HVAC System		213,000
	Kim Engineering Retro-Commissioning		500,000
	<b>TOTAL COMMITMENTS</b>		<b>830,500</b>
	<b>UNCOMMITTED FUNDS</b>		<b>7,549,861</b>

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: State Supported Working Budget - FY 2013

TYPE OF REQUEST: Status Report

ATTACHMENTS: Status Report-State Supported Working Budget-  
FY 2013  
Schedule A - Central Tuition- FY 2013  
Schedule A1 - Central Tuition-FY 2013-Revenue  
FTEs  
Schedule A2 - Entrepreneurial Summary -  
FY 2013  
Schedule B - Indirect Cost Recovery Revenue as of  
June 30, 2013  
Schedule B2 - List of Funded Waivers as of  
June 30, 2013  
Schedule C - Fringe Benefit Expenditures as of  
June 30, 2013  
Schedule D - Fuel & Utilities Expenses Projection for  
FY13  
Schedule D1 - Fuel & Utilities Reimbursement  
Projection for FY13 - Projected/Actual  
Schedule D2 - FY13 - Gas Volumes and Weighted  
Average Cost

DESCRIPTION: The FY 2013 state-supported working budget has an overall surplus of \$6.7M comprised of the following:

<u>Operating Projections</u>	
Revenue Under Attainment	(4.2)
Expenditures Under Budget	10.9
Planned Commitments	0
	-----
Surplus	\$6.7
	=====

SUBMITTED BY: Carlo Colella  
Vice President, Administration and Finance

UNIVERSITY OF MARYLAND  
STATUS REPORT - WORKING BUDGET - FY 2013  
STATE SUPPORTED CURRENT FUNDS  
STATUS REPORT  
As of June 32 (3rd Close)

<u>REVENUE</u>	<u>TOTALS</u>	<u>SURPLUS/ (DEFICIT)</u>	
<b>FY2013 Working State-Supported Budget</b>			
<b>Central Revenue</b>	<b>383,502,388</b>		
<b>General University Reserve</b>	<b>(1,000,000)</b>		
State Appropriation	410,163,435		
Restricted & Div./College Revenue	128,388,490		
Net Working Budget State-Supported Revenues	<u>921,054,313</u>		
 <u>State-Supported Central Revenues Over/(Under) Budget</u>			
Central Tuition Revenue	(4,816,720)		
Indirect Cost Revenue	2,527,310		
Investment Income	(176,230)		
State Appropriation:			
Additional Health Benefits Funding	833,879		
USM Fund Balance Reduction (one-time)	(1,970,303)		
Non-USM Regional Center Reversion	<u>(108,376)</u>		
Other Revenue	(1,244,800)		
Other Revenue	(510,402)		
 <b>REVISED REVENUE</b>	 <u>916,833,471</u>	 <u>(4,220,842)</u>	 -1.10%
 <u>EXPENDITURES</u>			
<b>FY2013 Working Budget</b>			
<b>General University</b>	<b>249,177,709</b>		
All Other Divisions	671,876,604		
Net Working Budget Expenditures	<u>921,054,313</u>		
 <u>General University Expenditures (Over)/Under Budget</u>			
Fringe Benefits	(2,859,653)		
Remissions	157,235		
Fuel & Utilities	12,945,188		
ACC Legal Defense Expenses	(818,651)		
Miscellaneous Expenses	171,652		
Other Commitments	<u>1,347,132</u>		
	<u>10,942,903</u>	<u>10,942,903</u>	4.39%
 <b>REVISED EXPENDITURES/COMMITMENTS</b>	 <u>931,997,216</u>		
 <b>TOTAL SURPLUS/(DEFICIT)</b>		 <u><u>6,722,061</u></u>	

**SCHEDULE A  
CENTRAL TUITION - FY 2013**

	FY2012 ACTUALS	FY2013 WORKING BUDGET	FY2013 ACTUAL 6/30/2013	FY2013 PROJECTION 6/30/2013	FY2013 OVER/(UNDER) WORKING BUDGET
<b>Central Tuition, Acct 101000</b>					
UNDERGRAD, FT, RES	\$127,832,511	\$131,615,929	\$131,265,156	\$131,265,156	(\$350,773)
UNDERGRAD, FT, NON-RES	144,636,476	149,505,584	149,249,267	149,249,267	(256,317)
UNDERGRAD, PT, RES	5,994,069	5,730,073	6,448,562	6,448,562	718,489
UNDERGRAD, PT, NON-RES	2,626,316	3,333,235	3,242,885	3,242,885	(90,350)
GRADUATE, RES	36,245,940	37,366,776	36,344,221	\$36,344,221	(\$1,022,555)
GRADUATE, NON-RES	18,216,773	18,518,275	14,668,785	\$14,668,785	(\$3,849,490)
GROSS TUITION	\$335,552,086	\$346,069,872	\$341,218,876	\$341,218,876	(\$4,850,996)
SHARE OF ENTREPRENEURIAL PROGRAMS	2,180,962	2,231,303	2,790,333	2,790,333	\$559,030
TOTAL CENTRAL TUITION	\$337,733,048	\$348,301,175	\$344,009,209	\$344,009,209	(\$4,291,966)
CREDIT CARD DISCOUNT	(\$3,339,875)	(\$3,100,000)	(\$3,967,004)	(\$3,967,004)	(\$667,004)
BAD DEBTS	(179,675)	(750,000)	(407,751)	(407,751)	342,249
TUITION - NET OF DISCOUNT & BAD DEBT	\$334,213,498	\$344,451,175	\$339,634,455	\$339,634,455	(\$4,816,720)

**SCHEDULE A1**

**CENTRAL TUITION - FY 2013 - Revenue FTEs\***

	FY2012 ACTUALS	FY2013 WORKING BUDGET	CHANGE FROM FY12 ACTUALS TO FY13 WB	FY2013 ACTUAL 6/30/2013	FY2013 PROJECTION 6/31/2013	FY2013 OVER/(UNDER) WORKING BUDGET TO FY13 PROJECTED	CHANGE FROM FY12 ACTUALS TO FY13 PROJECTED
<b>Central Tuition, Acct 101000</b>							
UNDERGRAD, FT, RES	18,351	18,344	-7	18,295	18,295	-49	-56
UNDERGRAD, FT, NON-RES	5,943	5,851	-92	5,841	5,841	-10	-103
UNDERGRAD, PT, RES	861	799	-63	899	899	100	37
UNDERGRAD, PT, NON-RES	108	130	22	127	127	-4	19
GRADUATE, RES	3,836	3,768	-68	3,664	3,664	-103	-171
GRADUATE, NON-RES	895	866	-29	686	686	-180	-209
<b>GROSS TUITION</b>	<b>29,993</b>	<b>29,757</b>	<b>-237</b>	<b>29,511</b>	<b>29,511</b>	<b>-245</b>	<b>-482</b>

	Tuition Rate FY12	Tuition Rate FY13	% Increase
UNDERGRAD, FT, RES	\$6,966	\$7,175	3.0%
UNDERGRAD, FT, NON-RES	\$24,337	\$25,554	5.0%
UNDERGRAD, PT, RES	\$6,960	\$7,176	3.1%
UNDERGRAD, PT, NON-RES	\$24,336	\$25,560	5.0%
GRADUATE, RES	\$9,450	\$9,918	5.0%
GRADUATE, NON-RES	\$20,358	\$21,384	5.0%

\*Revenue FTE = Tuition Revenue divided by Tuition Rate (Annualized)

Credit hour rates are annualized as follows:

UGRAD Part-Time = Credit hour rate multiplied by 24 credit hours

GRAD = Credit hour rate multiplied by 18 credit hours

**Schedule A2  
ENTREPRENURIAL  
SUMMARY - FY 2013**

Account	FY2012 ACTUALS	FY2013 WORKING BUDGET	FY2013 ACTUAL 6/30/2013	FY2013 PROJECTION 30-Jun-13	FY2013 OVER/(UNDER) WORKING BUDGET
101051-ENTS Program Tuition	\$137,074	\$136,000	\$138,860	\$138,860	\$2,860
101052-Prof MS Engineering Tuition	\$69,938	\$70,000	\$93,839	\$93,839	\$23,839
101054-MEPP Program Tuition	\$10,090	\$8,000	\$7,768	\$7,768	(\$232)
101055-Exec MBA Tuition	\$162,915	\$198,404	\$175,640	\$175,640	(\$22,764)
101056-Exec Masters Program Public Policy	\$13,280	\$40,000	\$20,625	\$20,625	(\$19,375)
101057-MAIT Campus Overhead	\$0	\$2,171	\$0	\$0	(\$2,171)
101060- MS of Real Estate Develop Prog	\$39,750	\$39,400	\$39,684	\$39,684	\$284
101061-MS IN Account Tuition O/H	\$1,310,371	\$1,561,731	\$1,849,785	\$1,849,785	\$288,054
101062- MLS AT SG O/H	\$47,111	\$23,837	\$48,458	\$48,458	\$24,621
101063-CMPS/ASOC/OH	\$628	\$800	\$1,618	\$1,618	\$818
101064-Start Terr Anay Prog O/H	\$35,866	\$3,360	\$42,742	\$42,742	\$39,382
101065-EDUC Outreach O/H	\$136,931	\$34,680	\$178,591	\$178,591	\$143,911
101066- PUAF DC O/H	\$99,913	\$4,800	\$70,649	\$70,649	\$65,849
101106-USM Engineering Programs	\$16,841	\$19,720	\$18,759	\$18,759	(\$961)
101108-Distance Learning ENGR	\$100,256	\$88,400	\$103,318	\$103,318	\$14,918
ENTREPRENURIAL PROGRAMS	\$2,180,962	\$2,231,303	\$2,790,333	\$2,790,333	\$559,030
1-13010 Entrepreneurial Fund					
PROVOST SHARE OF PROGRAMS	\$3,783,751	\$4,368,239	\$5,515,044	\$5,515,044	\$1,146,805

UNIVERSITY OF MARYLAND  
 FY 2013 College Park Campus  
 Indirect Cost Revenue  
 As of June 30, 2013

	(1) FY-13 Working Budget	(2) Actual @ 6/30/2013	(3) Surplus (Deficit) (2)-(1)
IDC Revenue From Sponsors	81,900,000	84,128,009	2,228,009
(ESSIC Rent)	(800,000)	(1,124,344)	(324,344)
(Internal Waiver/Return of Overhead to Projects)	<u>(2,200,000)</u>	<u>(1,720,300)</u>	479,700
Net IDC Revenue	78,900,000	81,283,365	2,383,365
Less Budgeted Allocations			
DRIF	39,099,416	39,099,416	-
Base State Support	27,441,410	27,441,410	-
Facilities Renewal	4,400,000	4,400,000	-
Research Administration	6,176,654	6,176,654	-
Other (Reversions, Workers Comp., Tort & Property Ins., ...)	1,725,020	1,580,709	144,311 (A)
Less Finance Committee Allocations			
Microsoft Agreement	57,500	57,868	-368
Surplus (Deficit) IDC Revenue	<u>0</u>	<u>2,527,310</u>	<u>2,527,310</u>
50% Facilities Renewal			1,263,655
50% DRIF			<u>1,263,655</u>
			<u>2,527,310</u>

Monthly IDC Revenue Collections

	Federal		State of Maryland		Other		Total	
	FY 13	FY 12	FY 13	FY 12	FY 13	FY 12	FY 13	FY 12
July & August	11,695,524	11,725,329	598,703	883,811	2,284,378	2,186,031	14,578,605	14,795,171
September	5,083,372	4,336,936	236,465	309,612	1,081,295	1,139,179	6,401,132	5,785,727
October	4,918,384	4,918,522	288,904	259,691	1,039,997	1,001,136	6,247,285	6,179,349
November	4,949,003	4,923,761	285,150	289,731	1,141,015	1,002,806	6,375,168	6,216,298
December	4,817,817	4,837,226	243,588	283,680	1,062,722	876,037	6,124,127	5,996,943
January	6,922,591	4,649,507	336,864	271,438	1,545,935	964,178	8,805,390	5,885,123
February	4,781,843	7,178,877	250,385	386,137	1,252,353	1,585,185	6,284,581	9,150,199
March	4,980,169	4,866,046	258,515	309,474	811,898	959,889	6,050,582	6,135,409
April	4,824,873	4,939,662	280,607	251,041	1,106,648	975,822	6,212,128	6,166,525
May	5,152,928	5,085,469	261,336	254,809	1,194,154	1,087,436	6,608,418	6,427,714
June	8,239,424	8,422,141	436,982	417,757	1,764,189	1,713,721	10,440,595	10,553,619
Total	<u>66,365,928</u>	<u>65,883,476</u>	<u>3,477,499</u>	<u>3,917,181</u>	<u>14,284,582</u>	<u>13,491,420</u>	<u>84,128,009</u>	<u>83,292,077</u>
YTD Gross Collections	66,365,928	65,883,476	3,477,499	3,917,181	14,284,582	13,491,420	84,128,009	83,292,077
YTD % Collected / total		100.00%		100.00%		100.00%		100.00%
YTD % chg over last year	0.73%		-11.22%		5.88%		1.00%	

(A) See attached Schedule of Budget Variances

FY2013 List of IDC Revenue Returned to Projects as of June month-end 2013

Waiver Account	Account Title	Dept/subdept	Dept/subdept Name	GL Map Account	Date Funded	Start Date	End Date	FY12 Actuals @6/30/12	FY13 Actuals 6/30/13
2-29472	National Foreign Language Center	12753-01	ARHU-National Foreign Language Center	0-12047	5/19/2006	N/A	N/A	1,099,365	1,183,784
2-20369	University of Maryland Center of Excellence in Regulatory Science and Innovation-UMCERSI	13225-01	ENGR-Fischell Department of Bioengineering	0-12794	2/27/2012	9/15/2011	9/14/2013	19,428	38,512
2-20369	University of Maryland Center of Excellence in Regulatory Science and Innovation-UMCERSI	13225-01	ENGR-Fischell Department of Bioengineering	0-12794	9/14/2012	9/15/2011	9/14/2013		
2-29165	Maryland Small Business Development Center FY13 Cooperative Agreement	14202-03	VPR-UMCAPS-Small Business Devel Ctr	0-12697	3/27/2013	10/1/2012	9/30/2013		37,934
2-29175	Maryland Small Business Development Center FY13 Cooperative Agreement	14202-03	VPR-UMCAPS-Small Business Devel Ctr	0-12944	4/11/2013	10/1/2012	9/30/2013		14,355
2-29175	Maryland Small Business Development Center FY13 Cooperative Agreement	14202-03	VPR-UMCAPS-Small Business Devel Ctr	0-12944		10/1/2012	9/30/2013		
2-26268	CRESST-Center for Research and Exploration in Space Sciences	13003-01	CMPS-Astronomy	0-12233		9/26/2006	9/25/2016		
2-26269	CRESST-Center for Research and Exploration in Space Sciences	13003-01	CMPS-Astronomy	0-12380		9/26/2006	9/25/2016	200,557	
2-27641	Cooperative Institute for Climate Studies	13007-01	CMPS-ESSIC	0-12557	4/23/2013	5/1/2009	6/30/2014	415,943	239,666
2-27641	Cooperative Institute for Climate Studies	13007-01	CMPS-ESSIC	0-12557	6/31/13	5/1/2009	6/30/2014	96,634	96,634
2-27641	Cooperative Institute for Climate Studies	13007-01	CMPS-ESSIC	0-12557	6/31/13	5/1/2009	6/30/2014	109,415	109,415
	<b>Sub-Total</b>							<b>1,735,293</b>	<b>1,720,300</b>
2-29473	ESSIC-Rent	13007-01	CMPS-ESSIC	012350	4/23/2013	7/1/2007	6/30/2014	942,339	508,085
2-29473	ESSIC-Rent	13007-01	CMPS-ESSIC	012350	6/31/13	7/1/2007	6/30/2014		308,452
2-29473	ESSIC-Rent	13007-01	CMPS-ESSIC	012350	6/31/13	7/1/2007	6/30/2014	-121	307,907
	<b>Totals</b>							<b>2,677,510</b>	<b>2,844,644</b>

Note: Waivers Budgeted in Acct: 1-02000 FY2013 \$3,000,000  
FY2013 Total 1-02000 (9937) \$2,844,644.03 as of 6/31/13



UNIVERSITY OF MARYLAND COLLEGE PARK  
STATE-SUPPORTED BUDGETS (GENU)  
FRINGE BENEFITS EXPENDITURES - SUMMARY BY BENEFIT  
Through Pay Period #26  
6/30/13

State Supported TYPE OF BENEFIT	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	Actual as a percent of Budget	Actual as of Pay Period	Actual as a percent of Budget	SURPLUS (DEFICIT)
	Year End Actual	Year End Actual	Year End Actual	Working Budget	Working Budget		Working Budget		
TIAA Optional Health Insurance	17,890,167	17,529,354	17,311,184	18,479,393	18,272,786	99%	18,781,989	104%	(767,850)
Retiree Health	46,842,876	47,379,851	46,663,776	48,309,294	49,289,888	102%	51,278,633	100%	(78,602)
Post Employ Benefits	5,388,374	9,713,698	9,548,097	15,061,658	13,750,279	91%	15,539,870	107%	(1,055,305)
Teachers Retirement	1,029,899	(2,864)	0	0	0	0%	0	0%	0
Employees Retirement	880,010	872,699	843,676	773,503	802,574	104%	863,314	90%	83,788
Social Security FICA	365,909	367,709	351,159	347,384	290,713	84%	340,963	91%	32,336
Teachers Pension	26,613,706	25,873,052	25,704,472	26,664,134	27,407,507	103%	27,939,042	103%	(811,914)
Employees Pension	1,578,747	1,730,054	1,896,237	1,801,218	2,122,156	118%	2,333,610	104%	(87,270)
Police Pension	8,369,582	9,194,459	10,712,909	10,157,360	11,422,160	112%	13,371,986	103%	(387,717)
Unemployment Compensation	1,671,059	1,780,592	1,993,701	2,008,649	2,087,599	104%	2,164,136	97%	71,784
401A Matching	371,567	547,439	1,046,969	1,161,999	1,106,301	95%	1,139,754	101%	(13,881)
Other Benefits	616,555	(37)	0	0	71,238		0		
Transfer to Other Institutions	(11,110)	0	280	0	34,218		0		(18,550)
Cost Share Current Fringes	13,536	14,361	0	0	0		0		0
Cost Share Prior Year Fringes	856,378	1,050,117	1,242,782	1,694,336	1,572,227	93%	1,793,235	90%	173,527
Revenue From Foundation	0	0	0	0	0		0		0
Reversion for Unspent Health Insurance	461,632	0	(34,546)	0	460,316		0		0
<b>GENU Benefits</b>	<b>112,938,886</b>	<b>116,050,486</b>	<b>118,808,822</b>	<b>126,458,928</b>	<b>128,689,962</b>	<b>102%</b>	<b>135,546,532</b>	<b>102%</b>	<b>(2,859,653)</b>
<b>Tuition Remission</b>	<b>705,958</b>	<b>721,163</b>	<b>736,207</b>	<b>774,863</b>	<b>641,030</b>	<b>83%</b>	<b>690,068</b>	<b>103%</b>	<b>(23,301)</b>
Employees (27xx)	1,844,085	1,836,006	1,581,065	1,700,000	1,817,506	107%	1,900,000	83%	319,863
Spouse/Dependent/NonCP	4,827,235	4,715,105	4,841,626	5,339,449	4,918,365	92%	5,350,098	93%	372,236
Graduate Assistants	15,475,584	15,236,287	15,718,734	16,568,500	16,521,036	100%	17,443,210	102%	(435,143)
Fellowships	3,655,984	4,500,582	3,065,308	0	0		0		0
Exchanges/Waivers	727,317	723,487	804,276	846,277	887,710	105%	945,797	101%	(13,165)
Cost Share Remissions	103,118	201,160	199,855	212,114	237,241	112%	248,705	125%	(63,255)
<b>Subtotal - Tuition Remission</b>	<b>\$27,339,281</b>	<b>\$27,933,790</b>	<b>\$26,947,071</b>	<b>\$25,441,203</b>	<b>\$25,022,888</b>	<b>98%</b>	<b>\$26,377,878</b>	<b>99%</b>	<b>157,235</b>
<b>GRAND TOTAL BENEFITS</b>	<b>\$140,739,799</b>	<b>\$143,984,276</b>	<b>\$145,755,893</b>	<b>\$151,900,131</b>	<b>\$153,712,850</b>	<b>101.2%</b>	<b>\$162,124,410</b>	<b>101.7%</b>	<b>(\$2,702,418)</b>

\* The FY 2013 Working Budget does not reflect the receipt of \$833,879 in additional funding for health benefits from the State

# University of Maryland

## Fuel & Utilities Expense Projection for FY13 - Projected / Actual

Item	FRS	FY13 Working Budget	FY13 Final	Difference	Explanation of Significant Variances
<b>Fixed Cost</b>	<b>1-19140</b>				
Electric - Cap Charge & Capital Recovery	3532	5,370,565	5,351,220	19,346	
Chilled Water - Cap Charge & Capital Recovery	3552	1,310,886	1,325,068	(14,182)	
Steam - Cap Charge & Capital Recovery	3590	5,401,674	5,404,788	(3,113)	
MEDCO - Base Fee, SBA, Acctng, Legal	3595	200,000	102,210	97,790	
Insurance	3591	210,000	226,580	(16,580)	
Consultants	3591	250,000	83,634	166,366	
ESCO	3592	1,853,275	1,857,976	(4,701)	
MEA Loan	3592	329,844	269,245	60,599	
<b>Sub-Total</b>		<b>14,926,244</b>	<b>14,620,720</b>	<b>305,524</b>	
<b>Variable Costs</b>	<b>1-19140</b>				
#2 Oil	3514	15,000	4,655	10,345	
Propane	3546	63,000	63,618	(618)	
Natural Gas	3540	20,000,000	12,511,473	7,488,527	
Purchased Electric	3530	15,000,000	11,715,610	3,284,390	
Generated Electric	3532	785,067	318,384	466,683	significantly lower SUEZ consumption pymt
Non-Interr Gas	3544	1,266,973	1,042,193	224,780	
Water/Sewer	3550	7,520,113	7,275,914	244,199	
Chilled Water	3552	172,339	89,343	82,996	significantly lower SUEZ consumption pymt
Steam	3590	710,145	168,759	541,386	significantly lower SUEZ consumption pymt
<b>Sub-Total</b>		<b>45,532,637</b>	<b>33,189,949</b>	<b>12,342,688</b>	
<b>Revenue</b>	<b>1-19140</b>				
Miscellaneous	0969		(82,213)	82,213	Refund due to JCI ESCO M&V Year 1 shortfall
<b>Total Costs Before Reimb.</b>		<b>60,458,881</b>	<b>47,728,456</b>	<b>12,730,425</b>	
<b>Reimbursements</b>	<b>1-19146</b>				
Internal		(9,966,246)	(10,005,634)	39,388	
External	0904	(1,701,393)	(1,703,757)	2,364	
<b>Sub-Total</b>		<b>(11,667,638)</b>	<b>(11,882,402)</b>	<b>214,764</b>	
<b>TOTAL NET COST</b>		<b>\$48,791,243</b>	<b>\$35,846,054</b>	<b>\$12,945,189</b>	

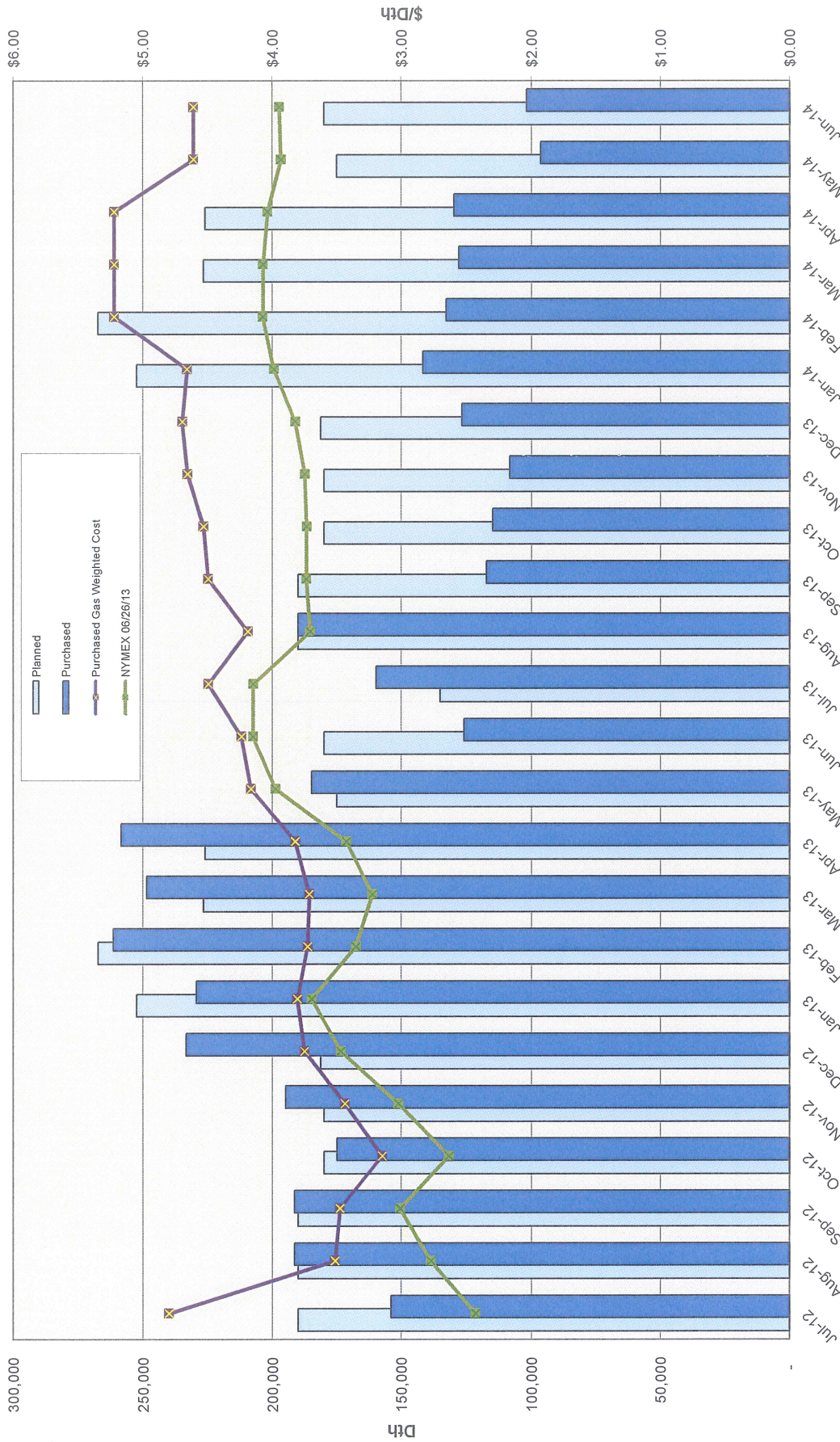
# University of Maryland

## Fuel & Utilities Reimbursement Projection for FY13 - Projected / Actual

Item	FY13 Working Budget	FY13 Final	Difference	Explanation of Significant Variances
<b>Reimbursements</b>				
<b>Internal</b>				
ICA (ICA + Comcast)	(9,966,246)	(10,005,634)	39,388	
DOTS (Parking + Shuttle)	(2,094,089)	(1,944,861)	(149,227)	
CRS (CRC + Ritchie)	(202,114)	(226,764)	24,650	new Shuttle Facility added
CYC	(931,389)	(1,039,708)	108,318	
Dining Services	(11,348)	(11,295)	(53)	
Greek Life	(1,140,261)	(1,456,183)	315,922	high steam use
Health Services	(333,470)	(337,997)	4,526	
Motor Pool	(66,573)	(52,060)	(14,514)	allocation changed from 32.5% to 10% in Nov
NTS	(34,865)	(31,549)	(3,316)	
Residential Facilities	(101,229)	(99,198)	(2,031)	
Stamp Union	(3,727,128)	(3,622,314)	(104,813)	significant decrease in water consumption
Wind Tunnel	(1,174,804)	(1,140,957)	(33,846)	
<b>External (0904)</b>				
Capstone	(148,976)	(42,748)	(106,228)	error in orig projection
UMUC	(1,701,393)	(1,703,757)	2,364	
Riggs	(453,450)	(447,305)	(6,145)	
Contractors	(1,066,359)	(1,016,235)	(50,124)	
	(170,141)	(159,205)	(10,936)	
	(11,443)	(81,012)	69,569	
<b>Sub-Total</b>	<b>(11,667,638)</b>	<b>(11,709,391)</b>	<b>41,752</b>	

# University of Maryland

FY13 and FY14 - Gas Volumes and Weighted Average Cost



FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: Sponsored Programs Direct Cost Expenditure  
Quarterly Report for June 2013

TYPE OF REQUEST: Status Report

ATTACHMENTS: Sponsored Programs Direct Cost Expenditure  
Quarterly Report  
  
Sponsored Project Expenditures by Sponsor

STATUS: Total sponsored programs direct cost  
expenditures were \$380,513,010 for FY 2013,  
which is 1.1% lower than FY 2012 actuals.  
  
The effective indirect cost rate on all  
expenditures was 22.1%, which compares to a  
FY 2012 rate of 21.7%.

SUBMITTED BY: Carlo Colella  
Vice President, Administration and Finance

UNIVERSITY OF MARYLAND COLLEGE PARK  
 FY 2013  
 SPONSORED PROGRAMS DIRECT COST EXPENDITURE  
 FOR THE 12 MONTHS ENDED JUNE 30, 2013

<u>Source:</u>	<u>FY2013 Actual</u>
Federal	288,317,028
State & Local	30,851,403
Private	61,344,578
Totals	<u>380,513,010</u>
<u>CHANGE OVER</u>	
<u>PRIOR YEAR ACTUAL:</u>	
DOLLARS	-4,104,665
PERCENT	-1.07%

<u>Period:</u>	<u>FY 13 Actual</u>	<u>FY 12 Actual</u>	<u>FY 11 Actual</u>	<u>FY 10 Actual</u>
1st Quarter	97,628,403	97,811,429	96,321,705	86,332,198
2nd Quarter	83,143,910	82,305,024	79,734,485	70,573,652
3rd Quarter	93,986,508	100,734,943	95,434,948	85,697,406
4th Quarter	105,754,188	103,766,279	100,474,283	91,022,698
Totals	<u>380,513,010</u>	<u>384,617,675</u>	<u>371,965,421</u>	<u>333,625,954</u>
<u>CHANGE FROM PREVIOUS YEAR YTD:</u>				
DOLLARS	-4,104,665	12,652,254	38,339,467	33,569,423
PERCENT	-1.07%	3.40%	11.49%	11.19%
<u>EFFECTIVE INDIRECT COST RATES:</u>				
INDIRECT COST REVENUE	84,128,032	83,292,077	81,579,930	72,311,505
PERCENT OF DIRECT COST	22.11%	21.66%	21.93%	21.67%

SOURCE: C&G ACCOUNTING OFFICE  
 PREPARED BY: BFA

University of Maryland College Park  
SPONSORED PROJECT EXPENDITURES BY SPONSOR  
FY 2013 (Final)

Sponsor	Sponsor Name	Direct Costs	Indirect Costs	Total Costs
<b>FEDERAL</b>				
1000	Agency for International Development	-	-	-
1100	Dept. of Agriculture	15,511,206.96	1,342,638.54	16,853,845.50
	Federal Formula Funds	10,436,324.65	-	10,436,324.65
1200	Dept. of Commerce	40,317,171.55	8,811,145.43	49,128,316.98
1300	Dept. of Army	11,551,646.81	2,377,438.56	13,929,085.37
1400	Dept. of Navy	13,789,127.23	3,915,887.06	17,705,014.29
1500	Dept. of Air Force	7,435,446.19	2,113,279.58	9,548,725.77
1600	Other Dept. of Defense	39,426,236.12	7,416,085.74	46,842,321.86
1700	Dept. of Education	5,478,317.65	398,106.03	5,876,423.68
1800	Dept. of Energy	8,839,905.70	3,192,472.60	12,032,378.30
2100-2500	Dept. of Health and Human Services	28,519,611.77	10,027,443.60	38,547,055.37
3500	Housing & Urban Dev	8.73	-	8.73
3800	Dept. of Justice	2,654,311.25	286,603.53	2,940,914.78
3900	Dept. of Labor	-	-	-
4000	Dept. of Transportation	4,403,583.81	658,058.03	5,061,641.84
4100	NASA	33,543,036.87	8,262,751.37	41,805,788.24
4200	Dept of Homeland Security	4,265,008.25	1,205,101.82	5,470,110.07
4400	Natl Science Foundation	43,868,521.21	14,085,485.89	57,954,007.10
4500	Nuclear Regulatory Commission	271,680.10	94,514.20	366,194.30
4600 & 5000	Other Federal	15,831,774.77	1,601,919.39	17,433,694.16
4700	Environmental Protection Agency	879,928.60	279,524.31	1,159,452.91
4800	Dept. of Interior	878,999.72	183,592.67	1,062,592.39
4900	National Foundation for the Arts and Humanities	415,180.53	118,062.20	533,242.73
	<b>Total Federal</b>	<b>288,317,028.47</b>	<b>66,370,110.55</b>	<b>354,687,139.02</b>
<b>NON-FEDERAL</b>				
7000	State of MD	30,851,402.91	3,477,498.14	34,328,901.05
	MFRI	7,375,794.90	-	7,375,794.90
	AG County Funds	3,610,388.48	-	3,610,388.48
8000	Foundations	4,720,144.40	448,562.82	5,168,707.22
8010	Univ. of MD Foundation	944,927.30	177,304.83	1,122,232.13
8100	Local Governments	2,257,400.13	541,004.36	2,798,404.49
8500	Corporations	11,404,319.39	3,896,581.58	15,300,900.97
8510	MIPs	345,062.39	138,758.76	483,821.15
8600	Universities	15,021,758.27	5,468,019.43	20,489,777.70
8700	Other State Govts	3,532,122.90	92,411.41	3,624,534.31
8800	URCI	-	-	-
9000	All Other Non-federal	2,060,971.86	528,771.15	2,589,743.01
9100	Government Labs	1,266,604.63	577,345.62	1,843,950.25
9200	Institutes	2,914,580.11	516,412.96	3,430,993.07
9300	Councils	408,239.60	100,239.64	508,479.24
9400	Societies	844,820.25	128,429.11	973,249.36
9500	Associations	692,605.40	152,822.16	845,427.56
9600	Consortia	631,666.92	292,193.48	923,860.40
9700	Foreign Org.	3,313,171.21	1,221,566.02	4,534,737.23
	<b>Total Non-Federal</b>	<b>92,195,981.05</b>	<b>17,757,921.47</b>	<b>109,953,902.52</b>
	<b>TOTAL SPONSORED PROJECT EXPENDITURES</b>	<b>380,513,009.52</b>	<b>84,128,032.02</b>	<b>464,641,041.54</b>
Notes:				
1. State of MD totals above exclude State Scholarships amounts.		(12,163.48)	-	(12,163.48)

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: Contract and Grant Awards - 4<sup>th</sup> Quarter -  
FY 2012 & FY 2013

TYPE OF REQUEST: Status

ATTACHMENTS: Contract and Grant Awards - FY 2012 and FY 2013

UMCP ARRA - Funding by Agency, FY and Qtr

STATUS: FY 2013 contract and grant awards at the end of the fourth quarter totaled \$411.1 million, a decrease of \$36.4 million, or 8.13%, from the total at the end of the fourth quarter of FY 2012.

The decrease is composed of the following  
(in millions):

\$ -33.2	Federal sponsors
4.0	State of Maryland
<u>-7.2</u>	Other
\$ -36.4	decrease from prior year

Federal Stimulus Awards through the American Recovery and Reinvestment Act (ARRA) were \$187,820, a decrease of \$374 from the \$188,194 received during the fourth quarter of the prior year (these figures are already included in the totals given above).

SUBMITTED BY: Carlo Colella  
Vice President for Administration and Finance



CONTRACT & GRANT AWARDS  
FISCAL YEARS 2012 & 2013

SPONSOR TYPE	AGENCY CODE	AGENCY	1st Quarter			2nd Quarter			3rd Quarter			4th Quarter			YTD			% CHANGE	% CHANGE														
			FY 2012	FY 2013	FY 2013	FY 2012	FY 2013	FY 2013	FY 2012	FY 2013	FY 2013	FY 2012	FY 2013	FY 2013	FY 2012	FY 2013	FY 2013																
FEDERAL	1000	AID	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	99,987	99,987	99,987	0	99,987	99,987	0.0%	0.0%								
	1100	USDA	4,316,751	13,871,911	13,871,911	0	0	0	0	0	0	0	0	0	0	0	0	0	1,570,222	721,399	1,337,248	895,993	1,337,248	531,255	21,420,015	21,420,015	6,420,280	42.8%					
	1200	Commerce	21,407,610	18,924,024	18,924,024	12,563,305	10,193,396	10,193,396	5,396,709	1,567,876	3,248,833	10,883,639	3,248,833	3,248,833	10,883,639	3,248,833	3,248,833	3,248,833	3,779,919	2,425,581	4,443,662	1,118,830	1,443,662	324,832	29,078,000	29,078,000	16,315,134	-32.5%					
	1300	DOD - Army	3,243,142	3,020,427	3,020,427	2,887,063	3,193,809	3,193,809	3,779,919	2,412,051	4,406,036	10,410,197	2,412,051	2,412,051	2,412,051	2,412,051	2,412,051	2,412,051	2,786,882	3,323,817	3,619,847	3,619,847	3,619,847	1,660,119	14,791,937	14,791,937	1,657,574	14.5%					
	1400	DOD - Navy	3,353,298	2,980,561	2,980,561	2,887,063	3,193,809	3,193,809	3,779,919	2,412,051	4,406,036	10,410,197	2,412,051	2,412,051	2,412,051	2,412,051	2,412,051	2,412,051	2,786,882	3,323,817	3,619,847	3,619,847	3,619,847	1,660,119	14,791,937	14,791,937	1,657,574	14.5%					
	1500	DOD - Air Force	2,306,171	2,190,377	2,190,377	909,151	2,750,795	2,750,795	2,786,882	3,323,817	3,619,847	3,619,847	3,619,847	3,619,847	3,619,847	3,619,847	3,619,847	3,619,847	2,786,882	3,323,817	3,619,847	3,619,847	3,619,847	1,660,119	14,791,937	14,791,937	1,657,574	14.5%					
	1600	DOD - Defense	6,665,956	11,844,444	11,844,444	25,250,817	17,544,162	17,544,162	13,120,608	13,437,622	4,373,748	10,021,211	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	4,373,748	1,416,110	2.8%		
	1700	Education	2,889,103	1,648,700	1,648,700	1,670,665	1,763,137	1,763,137	60,608	171,592	1,878,657	4,373,748	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,878,657	1,416,110	2.8%	
	1800	DOE - Dept. of Energy	6,499,507	4,170,716	4,170,716	2,121,466	3,225,555	3,225,555	3,353,851	2,877,882	2,276,051	1,898,959	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	2,276,051	14,250,875	14,250,875	1,877,753	-13.9%				
	2100	HHS - PHS	14,886,692	13,741,615	13,741,615	5,320,922	6,279,410	6,279,410	8,218,126	2,892,976	13,545,575	14,705,323	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	13,545,575	37,619,324	37,619,324	4,351,991	-10.4%				
	3500	HUD - Hous. & Urban Devel.	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	0.0%	0.0%
	3800	DOJ - Justice	0	61,000	61,000	54,000	472,117	472,117	700,323	778,027	0	0	0	0	0	0	0	0	0	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	246,197	0.0%	0.0%
	4000	DOT - Transportation	2,887,248	1,070,743	1,070,743	1,965,141	1,087,512	1,087,512	2,089,200	350,000	920,407	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	200,000	86.3%	
	4100	NASA	19,363,020	17,747,655	17,747,655	5,085,971	5,910,070	5,910,070	8,325,263	8,674,154	13,844,271	11,339,985	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	13,844,271	46,618,525	46,618,525	2,846,661	-6.3%				
	4200	DHS - DHS	1,600,875	1,150,224	1,150,224	187,342	360,684	360,684	300,000	939,354	3,909,795	822,668	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	3,909,795	5,998,012	5,998,012	2,275,082	-45.4%				
	4400	National Science Foundation	34,011,290	35,622,171	35,622,171	4,137,015	3,368,470	3,368,470	8,543,091	4,815,871	12,100,920	11,386,194	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	12,100,920	58,792,316	58,792,316	-3,999,610	-6.1%				
	4500	NRC - Nuclear Reg. Comm.	200,356	100,306	100,306	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	-6.1%	
	4600	Other Federal	1,772,882	2,078,758	2,078,758	436,263	658,093	658,093	10,850,432	5,425,193	49,176	5,430,683	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	5,425,193	13,010,401	13,010,401	-667,325	-5.1%				
	4700	EPA	303,500	811,394	811,394	410,623	17,000	17,000	847,601	222,087	165,000	0	165,000	165,000	165,000	165,000	165,000	165,000	165,000	165,000	165,000	165,000	165,000	165,000	165,000	1,726,724	1,726,724	1,050,661	-47.6%				
	4800	DOI - Interior	499,955	711,592	711,592	184,300	47,363	47,363	547,705	298,926	-5	16,647	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	-5	1,231,955	1,231,955	1,074,528	-12.2%				
	4900	NFAH (Prev. Incl. SBA)	140,000	278,720	278,720	0	550,000	550,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	329,643	329,643	933,370	603,727	183.1%			
	5000	Dept. of State	0	450,000	450,000	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0%	0.0%	
	5100	Treasury Department	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0%	0.0%
	5300	NHPRC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0%	0.0%
	5400	U.S. Institute for Peace	222,102	282,291	282,291	149,536	118,082	118,082	75,625	268,301	72,722	197,515	72,722	72,722	72,722	72,722	72,722	72,722	72,722	72,722	72,722	72,722	72,722	72,722	72,722	519,985	519,985	866,189	346,204	66.6%			
	5600	Smithsonian	238,430	0	0	204,998	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	443,428	443,428	339,998	-103,430	-23.3%		
	5700	CIA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0%	0.0%
	5800	Veteran Affairs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0%	0.0%
	5900	Library of Congress	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.0%
Sub-Total			128,807,888	132,757,829	132,757,829	75,305,206	68,124,401	68,124,401	80,835,316	54,604,519	72,689,110	68,927,420	-3,761,690	-3,761,690	-3,761,690	-3,761,690	-3,761,690	-3,761,690	80,835,316	54,604,519	72,689,110	68,927,420	68,927,420	68,927,420	68,927,420	357,637,519	324,414,168	-33,223,351	-9.3%				
STATE OF MARYLAND			6,998,389	8,314,102	8,314,102	6,032,122	6,619,588	6,619,588	3,717,534	5,095,319	3,458,918	4,165,858	706,940	706,940	706,940	706,940	706,940	706,940	3,717,534	5,095,319	3,458,918	4,165,858	4,165,858	4,165,858	4,165,858	20,206,963	24,194,867	3,987,904	19.7%				
OTHER			1,147,501	767,693	767,693	2,532,167	1,067,317	1,067,317	2,187,966	1,204,010	868,599	2,866,186	1,997,587	1,997,587	1,997,587	1,997,587	1,997,587	1,997,587	2,187,966	1,204,010	868,599	2,866,186	1,997,587	1,997,587	1,997,587	6,796,233	5,905,206	-891,027	-12.5%				
8000	Foundations	416,662	1,507,624	1,507,624	2,428,241	748,694	748,694	882,058	306,949	543,446	246,230	-297,216	-297,216	-297,216	-297,216	-297,216	-297,216	882,058	306,949	543,446	246,230	-297,216	-297,216	-297,216	4,270,407	2,809,497	-1,460,910	-34.2%					
8100	Local Government	6,882,902	4,081,733	4,0																													

**FY13 Quarterly Award Activities:**  
**Recovery totals only**

Fiscal Yr	2012 Apr - Jun Recovery Dollars Only	2013 July - Mar Recovery Dollars Only	2013 Apr - Jun Recovery Dollars Only	2013 Total Recovery Dollars Only
Corporation				
Corporations	\$0	\$25,000	\$0	\$25,000
Total	\$0	\$25,000	\$0	\$25,000
Commerce	\$0	\$0	\$0	\$0
DOE	\$0	\$0	\$0	0
HHS	\$0	\$0	\$0	\$0
Federal				
National Science Foundation	\$0	\$0	\$0	\$0
Total	\$0	\$0	\$0	\$0
Local Govt				
Local Government	\$0	\$0	\$0	0
Total	\$0	\$0	\$0	\$0
Govt. Labs	\$0	\$0	\$0	0
Institutes	\$0	\$0	\$0	0
Other				
Universities	\$188,194	\$60,171	\$187,820	\$247,991
Total	\$188,194	\$60,171	\$187,820	\$247,991
State Govt				
STMD	\$0	\$0	\$0	0
Total	\$0	\$0	\$0	0
<u>Total</u>	<u>\$188,194</u>	<u>\$85,171</u>	<u>\$187,820</u>	<u>\$272,991</u>

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: Report of Vacancies at June 30, 2013

TYPE OF REQUEST: Status Report

ATTACHMENT: Vacancy Report - June 2013

DESCRIPTION: Vacancies totaled 287 FTE (8 state and 279 non-state.) Annual salaries associated with state-supported vacant positions were \$1.0M with a budgeted state-supported turnover expectancy of \$13.4M.

SUBMITTED BY: Carlo Colella  
Vice President, Administration and Finance




# UNIVERSITY OF MARYLAND

OFFICE OF THE VICE PRESIDENT  
FOR ADMINISTRATION & FINANCE

1132 Main Administration Building  
College Park, Maryland 20742-5035  
301.405.1105 TEL 301.314.9659 FAX

June 30, 2013

## MEMORANDUM

**TO:** Monica West  
**FROM:** Carlo Colella   
**SUBJECT:** Vacancy Report – June 2013

Enclosed is the June 2013 Vacancy Report for College Park. Please note the following:

- Vacancies total 287 FTE (8 State and 279 Non-State).
- Annual salaries associated with state-supported vacant positions are \$1.0 million.
- The budgeted state-supported turnover expectancy is \$13.4 million.

Should you have any questions, please contact me at (301) 405-6400 or Robert Platky at (301) 405-5631.

Enclosure

cc: John Farley  
Robert Platky

UNIVERSITY OF MARYLAND, COLLEGE PARK  
VACANCY REPORT  
AS OF June 2013

PROGRAM	TITLE	DESCRIPTION	BUDGETED	
			FTE	AMOUNT
<b>STATE SUPPORTED</b>				
2-Research				
	9148510	Prof	0.22	44,000
2 Total			<u>0.22</u>	<u>44,000</u>
3-Public Service				
	9217502	Asst. Prof	1.20	267,248
3 Total			<u>1.20</u>	<u>267,248</u>
4-Academic Support				
	9320505	Assoc Dir	1.56	187,200
4 Total			<u>1.56</u>	<u>187,200</u>
5-Student Services				
	9314001	Asst Dir	1.73	173,000
5 Total			<u>1.73</u>	<u>173,000</u>
6-Institutional Support				
	9320505	Assoc Dir	1.36	190,400
6 Total			<u>1.36</u>	<u>190,400</u>
7-Plant Operations				
	9430507	Coordinator	1.38	96,600
7 Total			<u>1.38</u>	<u>96,600</u>
<b>STATE SUPPORTED Total</b>			<u>7.45</u>	<u>958,448</u>
<b>NON-STATE SUPPORTED</b>				
1-Instruction				
	9123509	Assoc Prof	9.23	899,280
1 Total			<u>9.23</u>	<u>899,280</u>
2-Research				
	9149701	Sr Res Schl	2.17	195,300
	9314001	Asst Dir	45.80	4,351,000
	9314101	Asst to Dir	9.80	490,000
	9320505	Assoc Dir	24.87	2,735,700
	9332020	Director	11.01	1,431,300
	9332101	Program Dir	9.03	993,300
	9430507	Coordinator	10.73	536,500
2 Total			<u>113.41</u>	<u>10,733,100</u>
3-Public Service				
	9314001	Asst Dir	24.33	2,452,363
3 Total			<u>24.33</u>	<u>2,452,363</u>
4-Academic Support				
	9314001	Asst Dir	3.00	240,000
	9542102	Librarian II	2.00	70,080
	08AA100	Admin Asst I	1.00	35,000
	10PM100	Prog Mgmt Spec I	1.00	39,651
4 Total			<u>7.00</u>	<u>384,731</u>
5-Student Services				
	9314001	Asst Dir	2.00	160,000
	9320505	Assoc Dir	2.00	184,000
	9430507	Coordinator	4.25	191,250
5 Total			<u>8.25</u>	<u>535,250</u>
6-Institutional Support				
	9311202	Administrator	1.00	70,000
	9320505	Assoc Dir	1.00	108,543
	9332020	Director	1.00	90,000
	9359005	Business Manager	1.00	50,000
	9445001	Manger	2.00	110,000
	04AC100	Account Clerk I	1.00	35,000
	06PZ100	PS Prt Srv Tch I	1.00	30,000
	09PRS00	PS Fin/Bind Spec	2.00	75,000
	09PXU00	PS Typesetter	1.00	35,000
6 Total			<u>11.00</u>	<u>603,543</u>
7-Plant Operations				
	9314001	Asst Dir	1.00	66,780
	9332020	Director	0.79	63,226
	9430514	Prog Coor	2.00	106,000
	9445008	Prog Mgr	3.00	265,344
	9456527	Sprv Cnstr Cont	2.00	172,684
7 Total			<u>8.79</u>	<u>674,033</u>
8-Auxiliary				
	9213035	Asst to Dir	2.00	80,937
	9314001	Asst Dir	7.70	653,700
	9314032	Asst Athletic Dir	1.00	50,219

UNIVERSITY OF MARYLAND, COLLEGE PARK  
VACANCY REPORT  
AS OF June 2013

PROGRAM	TITLE	DESCRIPTION	BUDGETED	
			FTE	AMOUNT
	9320505	Assoc Dir	3.00	285,397
	9332077	Comm Dir	1.00	37,169
	9359005	Business Manger	1.00	113,473
	9359007	Accountant	1.00	88,342
	9430507	Coordinator	16.73	289,961
	9430522	IT Coor	2.00	51,087
	9445001	Manger	4.00	300,000
	9445008	Prj Manager	1.00	105,788
	9445014	Food Service Mgr	2.61	102,576
	9533507	Engineer	4.00	311,920
	9749506	IT Data Base Admin	2.00	88,748
	9752101	Res Dir	3.00	166,328
	02FS100	Food Serv Aide I	11.49	309,570
	02HKW00	Housekeeper	2.00	49,635
	02SEU00	Service Worker	1.00	23,599
	03FS200	Food Service Aide II	2.87	84,587
	03HKL00	Housekeeper Lead	2.00	58,783
	04SK100	Storekeeper I	3.00	101,289
	06FSV00	Food Serv Sprv	6.94	242,403
	07DB00	Driver	3.00	73,038
	08AC300	Account Clerk III	5.00	104,988
	09AA200	Admin Asst II	3.00	125,026
	09ACC00	Accounting Assoc	1.00	35,795
	09SK300	Storekeeper III	1.00	31,055
	10PCS00	Parking Enforcement Supvrs	1.00	45,341
	10OS300	Office Supv III	1.00	44,602
	12EEU00	Prg Admin Spec	0.91	34,909
8 Total			<u>97.25</u>	<u>3,332,188</u>
NON-STATE SUPPORTED Total			<u>279.26</u>	<u>19,614,488</u>
CAMPUS TOTAL			<u>286.71</u>	<u>20,572,936</u>

UMCP Historical Position Budgets and Vacancies

	Budgeted						Vacancies						Filled												
	State			Total Campus			State			Non-State			Total Campus			State			Non-State			Total Campus			
	FTE	\$		FTE	\$		FTE	\$		FTE	\$		FTE	\$		FTE	\$		FTE	\$		FTE	\$		
30-Sep-08	5,638.61	437,386,835		2,595.69	174,292,043	8,234.30	611,678,878	232.02	18,585,402	197.46	14,285,215	429.48	32,870,617	5,406.59	406,847,517	2,398.23	152,295,306		7,804.82	559,142,823		7,804.82	559,142,823		
31-Dec-08	5,638.61	433,695,537		2,595.69	174,298,727	8,234.30	607,994,264	199.42	14,993,058	176.22	11,451,331	375.64	28,444,389	5,439.19	409,972,904	2,419.47	151,764,485		7,858.66	561,737,369		7,858.66	561,737,369		
31-Mar-09	5,638.61	431,256,904		2,595.69	174,298,727	8,234.30	605,555,631	236.64	17,830,890	136.18	9,088,988	372.82	26,919,878	5,401.97	409,993,098	2,459.51	153,891,519		7,861.48	563,884,617		7,861.48	563,884,617		
30-Jun-09	5,638.61	431,069,701		2,595.69	174,298,727	8,234.30	605,398,428	251.86	19,081,347	97.51	6,390,201	349.37	25,471,548	5,386.75	409,779,108	2,498.18	156,920,460		7,884.93	566,699,568		7,884.93	566,699,568		
30-Sep-09	5,503.61	421,273,298		2,617.46	184,069,788	8,121.07	605,343,086	190.72	14,435,540	64.44	4,157,831	255.16	18,593,371	5,312.89	402,187,675	2,553.02	161,756,483		7,865.91	563,944,158		7,865.91	563,944,158		
31-Dec-09	5,503.61	422,080,093		2,617.46	177,686,721	8,121.07	599,766,814	244.83	17,818,642	50.74	3,288,499	295.57	21,107,141	5,258.78	398,746,915	2,566.72	162,715,827		7,825.50	561,462,742		7,825.50	561,462,742		
31-Mar-10	5,503.61	420,840,734		2,617.46	177,686,721	8,121.07	598,527,455	295.68	21,574,392	10.85	690,050	306.53	22,264,442	5,207.93	395,939,561	2,606.61	164,121,304		7,814.54	560,060,865		7,814.54	560,060,865		
30-Jun-10	5,503.61	419,863,057		2,617.46	177,686,721	8,121.07	597,549,778	287.21	19,152,858	3.77	249,126	290.98	19,401,984	5,216.40	397,784,682	2,613.69	164,218,384		7,830.08	562,003,066		7,830.08	562,003,066		
30-Sep-10	5,537.79	427,380,494		2,869.89	188,036,635	8,407.68	615,417,129	354.53	25,207,303	102.90	5,909,253	457.43	31,116,556	5,183.26	395,098,890	2,766.99	174,499,117		7,950.25	569,598,007		7,950.25	569,598,007		
31-Dec-10	5,537.79	427,869,716		2,841.89	188,091,635	8,379.68	615,961,351	346.97	22,506,113	45.36	3,235,407	392.33	25,741,520	5,190.82	396,037,184	2,796.53	176,683,075		7,987.35	572,720,259		7,987.35	572,720,259		
31-Mar-11	5,537.79	427,853,881		2,841.89	188,091,635	8,379.68	615,945,516	287.40	18,629,659	0.99	31,257	288.39	18,660,916	5,250.39	400,577,889	2,840.90	179,875,383		8,091.29	580,453,272		8,091.29	580,453,272		
30-Jun-11	5,537.79	427,964,948		2,841.89	186,185,880	8,379.68	614,150,828	291.72	19,341,172	0.94	49,808	292.66	19,390,980	5,246.07	400,497,516	2,840.95	179,565,151		8,087.02	580,062,667		8,087.02	580,062,667		
30-Sep-11	5,549.11	432,842,458		2,982.89	190,509,471	8,532.00	623,351,929	215.00	15,562,445	179.43	11,650,066	394.43	27,212,511	5,334.11	409,386,642	2,803.46	178,019,234		8,137.57	587,405,876		8,137.57	587,405,876		
31-Dec-11	5,549.11	432,865,276		2,982.89	190,509,472	8,532.00	623,374,748	182.31	13,780,654	163.54	11,371,129	345.85	25,151,783	5,366.80	413,603,047	2,819.35	177,556,893		8,186.15	591,159,940		8,186.15	591,159,940		
31-Mar-12	5,549.11	433,225,684		2,982.89	190,566,394	8,532.00	623,792,078	142.37	13,472,950	121.21	7,228,817	263.58	20,701,767	5,406.74	417,116,112	2,861.68	181,679,912		8,268.42	586,796,024		8,268.42	586,796,024		
30-Jun-12	5,549.11	433,601,905		2,982.89	190,566,394	8,532.00	624,168,299	120.64	13,393,718	90.60	6,536,499	211.24	19,930,217	5,428.47	418,357,257	2,892.29	183,193,491		8,320.76	601,550,748		8,320.76	601,550,748		
30-Sep-12	5,564.10	441,136,948		3,043.08	187,450,447	8,607.18	628,587,395	67.55	7,226,296	169.22	10,885,106	236.77	18,111,402	5,496.55	425,592,110	2,873.86	182,331,827		8,370.41	607,923,937		8,370.41	607,923,937		
31-Dec-12	5,564.10	442,138,717		3,043.08	187,450,447	8,607.18	629,589,164	14.31	1,789,954	120.87	6,281,242	135.18	8,071,196	5,549.79	429,654,848	2,922.21	185,697,869		8,472.00	615,352,717		8,472.00	615,352,717		
31-Mar-13	5,564.10	446,696,649		3,043.08	187,450,447	8,607.18	634,147,096	14.46	1,824,422	231.04	14,614,467	245.50	16,438,889	5,549.64	437,971,197	2,812.04	181,617,809		8,361.68	619,589,006		8,361.68	619,589,006		
30-Jun-13	5,564.10	447,763,199		3,043.08	187,568,610	8,607.18	635,331,809	7.45	958,448	279.26	19,614,488	286.71	20,572,936	5,556.65	438,691,015	2,763.82	178,342,718		8,320.47	617,033,733		8,320.47	617,033,733		
Change From Jun 2012 to Jun 2013																									
# Change	14.99	14,161,294		60.19	(2,997,784)	75.18	11,163,510	(113.19)	(12,435,270)	188.66	13,077,989	75.47	642,719	642,719	20,333,758	(128.47)	(4,850,773)		(0.29)	15,482,985		(0.29)	15,482,985		
% Change	0.27%	3.27%		2.02%	-1.57%	0.88%	1.79%	-93.82%	-92.84%	208.23%	200.08%	35.73%	3.22%	4.86%	-4.44%	-2.65%			0.00%	2.57%		0.00%	2.57%		

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: Financial Report to Board of Regents -  
June 2013

TYPE OF REQUEST: Status Report

ATTACHMENTS: Memorandum from Vice President Colella to  
Vice Chancellor Vivona dated August 27, 2013

DESCRIPTION: Unrestricted Funds  
The FY 2013 unrestricted budget is \$1.3B.  
Revenues exceeded budget by \$9.2M, and  
expenditures were below budget by \$18.8M.  
Revenues exceeded expenditures by \$28.0.

Restricted Funds  
The FY 2013 restricted budget is \$433.1M.  
Revenues and expenditures were below budget  
by \$14.6M.

SUBMITTED BY: Carlo Colella  
Vice President, Administration and Finance






# UNIVERSITY OF MARYLAND

OFFICE OF THE VICE PRESIDENT  
FOR ADMINISTRATION & FINANCE

1132 Main Administration Building  
College Park, Maryland 20742-5035  
301.405.1105 TEL 301.314.9659 FAX

August 27, 2013

## MEMORANDUM

**TO:** Joseph Vivona  
**FROM:** Carlo Colella   
**SUBJECT:** Financial Report to the Board of Regents

Attached is UMCP's report on current fund revenue and expenditures versus budget for fiscal year 2013. The following narrative is divided into two sections: Section A explains significant variances of actuals from budget and Section B explains significant variances from prior year spending patterns.

### A. Variances from Budget

1. **Unrestricted Revenues** – Total unrestricted revenue was \$9.2 million (0.7%) over UMCP's FY 2013 budget, primarily due to the following:

**State Appropriation** was \$2.0 million under budget due to the campus' share of the legislative reduction to the overall USM appropriation.

**Tuition and Fees** were \$4.3 million under budget due to non-state supported education and general designated activities, such as Study Abroad.

**Private Gifts and Grants** were \$7.9 million over budget due to higher than anticipated gift revenue from the foundation.

**Sales and Services of Educational Activities** were \$2.6 million over budget largely due to \$0.9 million in laboratory services and fees, \$0.3 in licensing fees, \$0.5 million in Wind Tunnel Sales, \$0.4 million in short course registration fees, and \$0.5 million in internal sales-education and general.

**Sales and Services of Auxiliary Enterprises** were \$3.1 million over budget due to higher than anticipated activity levels in Conference and Visitor Center operations.

**Other Sources** were \$1.1 million over budget due to higher than expected endowment income from the Foundation.

**Budgeted Surplus to Grow Fund Balance** shows a \$1.0 million variance. Actuals are not recorded against this budget line item.

2. **Unrestricted Expenditures** — Total unrestricted expenditures were \$18.8 million (1.4%) under budget due to the following:

**Instruction** expenditures were \$12.6 million under budget due to: the deferral of the allocation of \$5.2M in academic initiative funding during the recent transition in the office of University Provost (now underway); \$4.9 million in less than anticipated expenditures in educational and general-designated activities; and \$2.5 million in less than anticipated expenditures in Extended Studies (summer, winter, etc.) programs.

**Research** expenditures were \$2.8 million under budget largely due to less than anticipated spending in Designated Research Initiative Funds (DRIF).

**Public Service** expenditures were \$1.2 million under budget, largely in the College of Agriculture and Natural Resources.

**Academic Support** expenditures were \$6.3 million over budget, primarily due to \$1.3 million in educational and general-designated activities, \$2.8 million in the Clarice Smith Center for Performing Arts, and \$1.3 million in Information Technology-Networking and Communications.

**Institutional Support** expenditures were \$4.2 million over budget, largely due to \$2.2 million in insurance claims, \$0.8 million in higher than anticipated expenditures for educational and general-designated activities, and \$0.8 million in various Finance Committee initiatives.

**Operation and Maintenance of Plant** expenditures were \$14.2 million under budget, primarily due to savings of \$12.5 million in fuel and utilities and \$1.0 million in educational and general-designated activities.

**Auxiliary Enterprises** expenditures were \$2.7 million over budget due to higher than anticipated activity levels in Conference and Visitor Center operations.

3. **Restricted Revenues and Expenditures** - All sources and programs were \$14.6 million (3.4%) under budget, largely in state and local grants and contracts and the public service program.

**B. Variances from Prior Year Attainment/Spending**

1. **Unrestricted Revenues** - Overall total revenue tracked consistently with prior year (101% versus 102%). All major categories of revenue are reported at levels materially consistent with prior years, except for:

**State and Local Grants and Contracts** - This category shows an apparent decrease of 17% from last year; however, this is due to an increase in the budget to better align with year-end actuals. If the current year's budget had remained the same, the percent of actuals to budget would have been consistent with the prior years.

**Private Gifts and Grants** – This category showed an increase of 14% from last year due to higher than anticipated gift revenue from the foundation in fiscal year 2013.

**Sales and Services of Educational Activities** – This category showed a decrease of 16% from last year. In fiscal year 2012, actuals were significantly higher than planned. The budget was increased in 2013, resulting in actuals that were closer to the amount planned.

2. **Unrestricted Expenditures** - Overall expenditures tracked at a rate consistent with the prior year (99% versus 99%). All program categories of expenditures are reported at levels materially consistent with prior years, with the exception of:

**Institutional Support** – This category showed a decrease of 15%. In the previous year, the University had one-time transfers to the Plant Fund.

3. **Restricted Revenue and Expenditures** - Overall revenues and expenditures tracked to budget at rates similar to the prior year (97% versus 99%). All categories of revenues and expenditures tracked at rates materially consistent with prior years, except for:

**Instruction** - This category shows an apparent decrease of 18% from last year; however, this is due to an increase in the budget to better align with year-end actuals. If the current year's budget had remained the same, the percent of actuals to budget would have been consistent with the prior years.

Mr. Joseph Vivona

August 27, 2013

Page 4

**Public Service** – This category shows an apparent decrease of 37% from last year; however, this is due to both a significant decrease in this year's expenditures and an increase in the budget to better align with last year's year-end actuals.

**Scholarships and Fellowships** – This category increased 18% from last year; however, this is due to a decrease in the budget to better align with year-end actuals. If the current year's budget had remained the same, the percent of actuals to budget would have been consistent with the prior years.

There are no issues to call to the attention of the Board of Regents relating to College Park revenues or expenditures for fiscal year 2013. If you have any questions or concerns regarding this report, please contact Robert Platky at 301-405-5631 or me at 301-405-6400.

Attachment –June 30, 2013 Financial Report

cc: Mr. Paul Dworkis  
Ms. Colleen Dove-Auburger  
Ms. Cynthia Barnes  
Mr. Robert Platky



FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: BMGT Request for Tuition and Fee Rate for Online MBA Program

TYPE OF REQUEST: Status Report

ATTACHMENT: Letter from Assistant Dean Haniffee to Senior Vice President and Provost Rankin dated June 18, 2013

DESCRIPTION: The Robert H. Smith School of Business has requested to set the tuition and fee rate for the newly established online MBA program approved by MHEC on June 7, 2013. The tuition rate requested is \$1,481 per credit. Students will be charged the University Technology Fee for the Spring and Fall semesters.

This request has been approved by the Senior Vice President and Provost.

SUBMITTED BY: Dr. Mary Ann Rankin  
Senior Vice President and Provost



*Approved 8/13/13  
mark*

June 18, 2013

Dr. Mary Ann Rankin  
Senior Vice President and Provost of Academic Affairs  
University of Maryland  
College Park, MD 20742

Dear Mary Ann:

This letter serves as a request to set the tuition and fee rate for the newly established online MBA program at the Robert H. Smith School of Business, approved by MHEC on June 7, 2013. This program is set to start in January 2014 (Winter Term).

The tuition rate we are requesting is \$1,481.00 per credit. This is a 54-credit Masters in Business Administration program. There is no differentiation between in-state and out-of-state tuition. Students will also be charged the University Technology Fee in their Spring and Fall semesters. The Technology Fee is currently set at \$132.00. Students enrolled in the Online MBA program will not be subject to any additional student fees (association, athletic, shuttle bus, student union, student activities, recreation services or performing arts) as their program is provided in a virtual environment.

Please feel free to contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "GH" or similar initials.

Greg Haniffee  
Assistant Dean, Office of Executive Programs  
[ghaniffee@rhsmith.umd.edu](mailto:ghaniffee@rhsmith.umd.edu)  
(301) 405-7628

cc: Cynthia R. Hale, Associate Vice President for Personnel and Finance  
Dr. Charles Caramello, Associate Provost for Academic Affairs & Dean of the Graduate School  
Kimberly Nichols, Director, Office of Finance and Administration

FINANCE COMMITTEE

MEETING DATE: August 30, 2013

AGENDA ITEM: ARHU Request for School of Music Undergraduate Supplemental Application Fee

TYPE OF REQUEST: Status Report

ATTACHMENT: Letter from Professor and Director Gibson to Associate Vice President Hale dated July 31, 2013

DESCRIPTION: The School of Music has requested to charge a \$35 fee for the undergraduate supplemental music application hosted by Acceptd. Acceptd charges \$25 per application and \$10 would be returned to the School of Music to support the substantial expenses incurred for audition week. The online process is simpler for applicants and aids faculty in prescreening and recruitment.

This request has been approved by the Senior Vice President and Provost.

SUBMITTED BY: Dr. Mary Ann Rankin  
Senior Vice President and Provost





SCHOOL OF MUSIC  
2110 Clarice Smith Performing Arts Center  
College Park, Maryland 20742-1620  
301.405.5549 TEL 301.314.9504 FAX

July 31, 2013

Ms. Cindi Hale  
Associate Vice President for Personnel and Budget  
Office of the Senior Vice President and Provost  
0110 Main Administration Building  
CAMPUS

Dear Cindi,

I am writing to request authorization for the School of Music to charge a fee for our undergraduate supplemental music application, to be hosted by Acceptd (<https://getacceptd.com/umdmusic>). As I mentioned when we talked by phone yesterday, we have had an online application for several years. A few of our undergraduate programs (voice, flute and trumpet) require the submission of a prescreen recording, and for the past two years we have collected these recordings electronically through an outside company called ArtsApp. ArtsApp charged our pre-screen students \$10 for their application.

With ArtsApp unavailable to us, we would like to now use Acceptd to combine our undergraduate application with the digital media collection of supplemental application materials to make the entire process simpler for our applicants and easier for our faculty to review the prescreen materials. Another added benefit of working with Acceptd, is their searchable network, an online database of prospective applicants that we can utilize for recruitment. This database could provide significant opportunities for connections to instrumentalists that we need for our ensembles and programs.

All of our graduate applicants will apply through the Graduate School's application system, but applicants for a few programs are required to submit prescreen materials. As with the undergraduates, these graduate applicants have been submitting their prescreen materials via ArtsApp for the past two years. We also plan to use Acceptd to collect these prescreen recordings for our graduate applicants in voice, flute, trumpet, collaborative piano, conducting, and music education. The current university application system, MEGS, presents challenges and unsolved problems related to the supplementary application materials.

Acceptd charges students \$25 per application they submit. We are proposing an application fee of \$35 that would be paid to Acceptd. The company would then return \$10 on each application to the School of Music, and these funds will be used to support the substantial expenses that we incur related to our audition week held in January, when we host over 650 applicants and their parents.

This year we are going to enhance our activities and hospitality for audition week in very significant ways to support our efforts in recruitment, and the additional funds from Acceptd (ca. \$7,000), while not close to the total that we will spend, will certainly help offset our expenses. Some of these expenses include

accompanists for all vocal auditionees, chamber musicians to perform with our collaborative piano applicants, student workers to help greet the auditionees and their parents, and a hospitality room with snacks, since our audition week takes place before classes start, while most campus dining options are closed.

As I also mentioned in our conversation yesterday, and as you know from your extensive experience with these issues at the Graduate School, there is a national preference for online portals for the application process, especially in the arts, where supporting materials of various types are an essential part of the application. The online platform makes submission easier for the student and management easier for the reviewers. Acceptd is one of two major online services that are used throughout the US (the other is DecisionDesk: <http://www.decisiondesk.com>). After careful consideration of both programs, we strongly felt that Acceptd was the better program for our needs. The evidence is strong that we will be more competitive in our recruitment efforts working through a nationally recognized online service that is widely used.

It is also important to note that Acceptd will waive fees for low-income/financial need applicants who provide documentation of need, and we do the same in the School, so our application process is open to those who cannot afford the fees.

Finally, I would also like to note that our total fee for the undergraduate application (\$35 to Acceptd and \$65 to the University) is in the middle range of fees charged by our peers. Please see the attached supporting document that provides a list of peers and the fees they currently charge.

Thank you very much for your consideration of this request, and please accept my apology for the lateness and urgency around the issue. We deeply appreciate your willingness to consider the proposal on short notice, since our admissions process for the coming year is built around the use of Acceptd.

Please let me know if you are in need of any further information or wish to discuss any concerns.

With best wishes,

A handwritten signature in black ink, appearing to read "Robert Gibson", with a stylized flourish at the end.

Robert Gibson  
Professor and Director

APPLICATION FEES AT OTHER SCHOOLS OF MUSIC AND CONSERVATORIES USING  
ONLINE APPLICATION SERVICES

Northwestern University School of Music: \$65

UC Boulder: \$75 (\$25 on Acceptd, \$50 to university)

Eastman School of Music: \$75 by Nov. 1 priority deadline; \$125 if later

Rochester Institute of Technology: \$85 (\$25 to Acceptd, \$60 to university)

Rice University Shepard School of Music: \$95 (\$20 to Acceptd, \$75 to university)

Syracuse University: \$95 (\$25 to Acceptd, \$70 to university)

**University of Maryland (proposed): \$100 (\$35 to Acceptd; \$65 to university)**

University of Delaware: \$100 (\$25 to Acceptd, \$75 to university)

Southern Methodist University: \$100 (\$25 to Acceptd, \$75 to university)

University of Arizona: \$100 (\$25 to Acceptd, \$75 to university)

Georgia State University: \$100 (\$50 to Acceptd, \$60 to university)

University of Michigan School of Music, Theatre & Dance: \$110 (\$35 to music, \$75 to university)

Cleveland Institute of Music: \$110

University of Cincinnati College Conservatory of Music: \$120 (\$10 to Acceptd, \$110 to university)

Indiana University Jacobs School of Music: \$135 (\$75 to School, \$60 to university)